122

IN THE CENTRAL ELECTRICITY REGULATORY COMMISSION,

NEW DELHI

| PETITION NO. | of 2017 |
|--------------|---------|

In the matter of: Approval under Regulation 86 of CERC (Conduct of Business) Regulations, 1999 and CERC (Terms and Conditions of Tariff) Regulations 2014 for Transmission Tariff for period from 01.04.2014 to 31.03.2015 for Transmission Lines connecting between Karnataka and other Neighboring States (Natural Inter State Transmission Lines) pertaining to Karnataka Power Transmission Corporation Limited.

Karnataka Power Transmission Corporation Limited

...Petitioner

VS.

Chairman and Managing Director, Transmission Corporation of Andhra Pradesh Limited & Ors

...Respondent(s)

| Sl. | Description | Enclosure | Page |
|-----|--|-----------|-------|
| No | Description | No. | No. |
| 1 | Index | | |
| 2 | Letter for registration | | 1-8 |
| 3 | Memo of Parties | | 9-11 |
| 4 | Application for determinate | | 12-28 |
| 5 | Afridant | | 29-32 |
| 6 | Copy of letter dated 17.12.2013 | 1 | 33 |
| 7 | Copy of letter dated 25.03.2014 | 2 | 34 |
| 8 | Copy of letter dated 16.04.2014 | 3 | 35 |
| 9 | Copy of letter dated 19.06.2017 | 4 | 36-37 |
| 10 | Schematic diagram | 5 | 38-43 |
| 11 | Tariff Filing Formats | 6 | 44-5 |
| 12 | Extract of CERC (Terms and Conditions of | 7 | 53-7(|
| | Tariff) Regulation 2014 | | |
| 13 | Proof of Service to the Respondents | | 71-7 |
| 14 | Check List | | 74-8 |
| 15 | | | 39 |

Karnataka Power Transmission Corporation Limited,

Represented by:

Bengaluru

Filed by:

Date: <a>§10.2017

(Ramesh.Gudi)

Executive Engineer (Electrical)

Regulatory Affairs(Lega)

BEFORE

THE CENTRAL ELECTRICITY REGULATORY COMMISSION, NEW DELHI

IN THE MATTER OF: Approval under Regulation 86 of CERC (Conduct of Business) Regulations, 1999 and CERC (Terms and Conditions of Tariff) Regulations 2014 for Transmission Tariff for the period from 01.04.2014 to 31.03.2019 for Transmission Lines connecting between Karnataka and other Neighbouring States (Natural Inter State Transmission Lines) pertaining to Karnataka Power Transmission Corporation Limited, namely,

- a) 220 kV S/C Line from Ambewadi Ponda (Karnataka Goa)
- b) 220 kV S/C Line from Ambewadi Xyldom (Karnataka Goa)
- c) 220 kV S/C Line from Sedam Tandur (Karnataka Telangana)
- d) 220 kV S/C Line from Allipura Ragalapadu (Karnataka - Andhra Pradesh)
- e) 220 kV S/C Line from Yerandanahalli Hosur (Karnataka - Tamilnadu)
- f) 220 kV S/C Line from Kadakola Kaniyampet (Karnataka Kerala)
- g) 110 kV S/C Line from Konaje Manjeshwar (Karnataka Kerala)

Petitioner:

Karnataka Power Transmission Corporation Limited Registered Office: KPTCL, Kaveri Bhavan, Kempegowda Road, Bangalore- 560 009

Respondents:

- Chairman and Managing Director,
 Transmission Corporation of Andhra Pradesh Limited
 (APTRANSCO)
 6th Floor, A Block, Vidyut Soudha,
 Khairatabad,
 Hyderabad 500 082
- Chairman and Managing Director,
 Transmission Corporation of Telangana Limited (TSTRANSCO),
 6th Floor, A Block, Vidyut Soudha,
 Khairatabad,
 Hyderabad 500 082
- Managing Director,
 Tamil Nadu Transmission Corporation Limited
 (TANTRANSCO),
 No.144, Anna Salai,
 Chennai- 600 002
- Chairman,
 Kerala State Electricity Board,
 Vidyuthi Bhavanam, Pattom,
 Thiruvananthapuram -- 695 004

Chief Electrical Engineer,
 Electricity Department,
 Government of Goa,
 Vidyuth Bhavan, Panaji,
 Goa – 403 001

BEFORE

THE CENTRAL ELECTRICITY REGULATORY COMMISION, NEW DELHI

| Petition No: | |
|-------------------------|--|
| Tariff Period: 2014- 19 | |

Petition for Approval under Regulation 86 of CERC (Conduct of Business) Regulations 1999 and CERC (Terms and Conditions of Tariff) Regulations 2014 for approval of Transmission Tariff for Inter State transmission Lines Connecting between Karnataka and other neighbouring States pertaining to Karnataka Power Transmission Corporation Limited

Petitioner:

Karnataka Power Transmission Corporation Limited.

Registered Office:

KPTCL, Kaveri Bhavan, Kempegowda Road, Bangalore-560 009

BEFORE

THE CENTRAL ELECTRICITY REGULATORY COMMISSION, NEW DELHI

IN THE MATTER OF: Approval under Regulation 86 of CERC (Conduct of Business) Regulations, 1999 for determination of Tariff for Transmission Lines connecting between Karnataka and other Neighboring States (Natural Inter State Transmission Lines) pertaining to Karnataka Power Transmission Corporation Limited, namely

- a) 220 kV S/C line from Ambewadi Ponda (Karnataka Goa)
- b) 220 kV S/C line from Ambewadi Xyldom (Karnataka Goa)
- c) 220 kV S/C line from Sedam Tandur (Karnataka Telangana)
- d) 220 kV S/C line from Allipura Ragalapadu (Karnataka Andhra Pradesh)
- e) 220 kV S/C line from Yerandanahalli Hosur (Karnataka - Tamil Nadu)
- f) 220 kV S/C line from Kadakola Kaniyampet (Karnataka - Kerala)
- g) 110 kV S/C line from Konaje Manjeshwar (Karnataka-Kerala)

6

Petitioner:

Karnataka Power Transmission Corporation Limited,
Registered Office: KPTCL, Kaveri Bhavan, Kempegowda
Road,
Bengaluru – 560 009

Respondents:

- Chairman and Managing Director,
 Transmission Corporation of Andhra Pradesh Limited (APTRANSCO),
 6th Floor, A Block, Vidyut Soudha,
 Khairatabad,
 Hyderabad 500 082
- Chairman and Managing Director,
 Transmission Corporation of Telangana Limited (TSTRANSCO),
 6th Floor, A Block, Vidyut Soudha,
 Khairatabad,
 Hyderabad 500 082
- Managing Director,
 Tamil Nadu Transmission Corporation Limited
 (TANTRANSCO),
 No.144, Anna Salai,
 Chennai— 600 002
- Chairman,
 Kerala State Electricity Board,
 Vidyuthi Bhavanam, Pattom,

Chief Electrical Engineer,
 Electricity Department,
 Government of Goa,
 Vidyuth Bhavan, Panaji,
 Goa – 403 001

To

The Secretary,

Central Electricity Regulatory Commission,

3rd & 4th Floor, Chanderlok Building,

36, Janpath,

New Delhi – 110 001

Sir,

The application under Regulation 86 of CERC (Conduct of Business) Regulations, 1999 and CERC (Terms and Conditions of Tariff) Regulations 2014 for determination of Transmission Tariff for Transmission Lines connecting between Karnataka and other neighbouring states (Natural Inter State Transmission Lines) pertaining to Karnataka Power Transmission Corporation Limited, namely

- a) 220 kV S/C line from Ambewadi Ponda (Karnataka Goa)
- b) 220 kV S/C line from Ambewadi Xyldom (Karnataka Goa)

8

- c) 220 kV S/C line from Sedam Tandur (Karnataka Telangana)
- d) 220 kV S/C line from Allipura Ragalapadu (Karnataka Andhra Pradesh)
- e) 220 kV S/C line from Yerandanahalli Hosur (Karnataka - Tamilnadu)
- f) 220 kV S/C line from Kadakola Kaniyampet (Karnataka Kerala)
- g) 110 kV S/C line from Konaje Manjeshwar (Karnataka Kerala)

from 01.04.2014 to 31.03.2019 may please be registered.

Filed by:

Karnataka Power Transmission Corporation Limited,

Represented by:

Bengaluru

Date: __.10.2017

(Ramesh.Gudi

Executive Engineer

Regulatory Affairs (Legal)

EXECUTIVE ENGINEER (EL)
Regulatory Affairs (Legal)

KPTCL, Kaveri Bhavan, Bangalore - 560 609

BEFORE

THE CENTRAL ELECTRICITY REGULATORY COMMISSION, NEW DELHI

IN THE MATTER OF: Approval under Regulation 86 of CERC (Conduct of Business) Regulations, 1999 and CERC (Terms and Conditions of Tariff) Regulations, 2014 for determination of Transmission Tariff of Transmission Lines Connecting between Karnataka and other Neighbouring States (Natural Inter State Transmission Lines) pertaining to Karnataka Power Transmission Corporation Limited, namely

- 220 kV S/C line from Ambewadi Ponda (Karnataka -Goa)
- b) 220 kV S/C line from Ambewadi Xyldom (Karnataka -Goa)
- c) 220 kV S/C line from Sedam Tandur (Karnataka -Andhra)
- d) 220 kV S/C line from Allipura Ragalapadu (Karnataka - Andhra)
- e) 220 kV S/C line from Yerandanahalli Hosur (Karnataka - Tamilnadu)
- f) 220 kV S/C line from Kadakola Kaniyampet (Karnataka - Kerala)
- g) 110 kV S/C line from Konaje Manjeshwar (Karnataka - Kerala)

EXECUTIVE ENGINEER (EL) Regulatory Affair (Legal) KPTCL, Kaveri Bhavan,

Bangalore - 560 009

MEMO OF PARTIES

Petitioner:

Karnataka Power Transmission Corporation Limited, Registered Office: KPTCL, Kaveri Bhavan, Kempegowda Road, Bengaluru- 560 009

Respondents:

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 Transmission Corporation of Andhra Pradesh Limited (APTRANSCO),
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 Hyderabad 500 082
- Chairman and Managing Director,
 Transmission Corporation of Telangana Limited (TSTRANSCO),
 6th Floor, A Block, Vidyut Soudha,
 Khairatabad,
 Hyderabad 500 082
- 3. Managing Director,
 Tamil Nadu Transmission Corporation Limited
 (TANTRANSCO),
 No.144, Anna Salai,
 Chennai— 600 002
- Chairman,
 Kerala State Electricity Board,
 Vidyuthi Bhavanam, Pattom,
 Thiruvananthapuram 695 004
- Chief Electrical Engineer, Electricity Department, Government of Goa,

Filed by:

Karnataka Power Transmission Corporation Limited,

Represented by

Bengaluru

Date: 🗵 10..2017

Executive Engineer (Electrical)

Regulatory Affairs (Legal)

BEFORE THE CENTRAL ELECTRICITY REGULATORY COMMISSION, NEW DELHI

IN THE MATTER OF: Approval under Regulation 86 of CERC (Conduct of Business) Regulations, 1999 and CERC (Terms and Conditions of Tariff) Regulations 2014 for determination of Tariff of Transmission Lines Connecting between Karnataka and other Neighbouring States (Natural Inter State Transmission Lines) pertaining to Karnataka Power Transmission Corporation Limited, namely a) 220 kV S/C Line from Ambewadi - Ponda, b) 220 kV S/C Line from Ambewadi - Xyldom, c) 220 kV S/C Line from Sedam - Tandur, d) 220 kV S/C Line from Allipura - Ragalapadu, e) 220 kV S/C Line from Yerandanahalli - Hosur, f) 220 kV S/C Line from Kadakola - Kaniyampet and g) 110 kV S/C Line from Konaje -Manjeshwar for the period from 01.04.2014 to 31.03.2019

Petitioner:

Karnataka Power Transmission Corporation Limited

Registered Office: KPTCL, Kaveri Bhavan,

Kempegowda Road,

Bengaluru- 560 009

Regulatory Affairs (Legal) KPTCL, Kaveri Bhavan, Bangalore - 560 009

Respondents:

- Chairman and Managing Director,
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 Transmission Corporation of Telangana Limited (TSTRANSCO),
 6th Floor, A Block, Vidyut Soudha,
 Khairatabad,
 Hyderabad 500 082
- 3. Managing Director,

 Tamil Nadu Transmission Corporation Limited

 (TANTRANSCO),

 No.144, Anna Salai,

 Chennai— 600 002
- Chairman,
 Kerala State Electricity Board,
 Vidyuthi Bhavanam, Pattom,
 Thiruvananthapuram 695 004
- Chief Electrical Engineer, Electricity Department, Government of Goa, Vidyuth Bhavan, Panaji,

To,
The Hon'ble Chairman and
his Companion Members of the Hon'ble CERC

This Humble Application field by the Petitioner

MOST RESPECTFULLY SHOWETH:

- 1.0. This Hon'ble Commission has made CERC (Terms and Conditions of Tariff) Regulations, 2014 vide notification dated 21-02-2014 as per which these Regulations shall remain in force for a period of 5 years with effect from 01-04-2014, unless reviewed earlier or extended by the Hon'ble Commission.
- 2.0. The Petitioner herein is is presently carrying out solely, the Transmission Business as the State Transmission Utility (STU) in the State of Karnataka.
- 3.0. As per the Hon'ble Karnataka Electricity Regulatory Commission's Regulations, the Petitioner has filed the Annual Revenue Requirement (ARR) for the Transmission Businesses for its entire Network for FY 2014 -19 before the Hon'ble Commission and the Hon'ble State Commission has determined the Transmission Tariff for FY 2015, FY 2016, FY 2017-19 vide Order dated 12th May 2014, 2nd March 2015, 30th March 2016 and 11th April 2017.

- 4.0. As a step towards inclusion of Natural ISTS Lines in the PoC/Transmission Charges, the Hon'ble CERC in order dated 14.03.2012 & 21.08.2012 in Petition No.15/Suo-Motu/2012, proposed to include the Natural Inter State Transmission Lines, i.e. the Transmission Lines connecting between two States, for computation of PoC (Point of Connection) Charges and Losses and directed the owners of Natural Inter-Sate Transmission Lines to file Applications / Petitions for determination of Tariff in accordance with the Central Electricity Regulatory Commission (Terms and Conditions of Tariff) Regulations, 2009.
- 5.0. Accordingly, the Petitioner filed Application /
 Petition dated 12.02.2013 vide Petition
 No.225/TT/2013 before the Hon'ble Commission for
 approval of Transmission Tariff for the following
 Inter-State Transmission Lines connecting between
 Karnataka and other Neighboring States:
 - a) 220 kV S/C Line from Ambewadi Ponda (Karnataka - Goa)
 - b) 220 kV S/C Line from Ambewadi Xyldom (Karnataka - Goa)
 - c) 220 kV D/C Line from Chikkodi Kolhapur (Karnataka Maharashtra)
 - d) 220 kV S/C Line from Sedam Tandur (Karnataka
 - Andhra Pradesh)

- e) 220 kV S/C Line from Allipura Ragalapadu (Karnataka - Andhra Pradesh)
- f) 220 kV S/C Line from Yerandanahalli Hosur (Karnataka - Tamilnadu)
- g) 220 kV S/C Line from Kadakola Kaniyampet (Karnataka Kerala)
- h) 110 kV S/C Line from Konaje Manjeshwar (Karnataka Kerala)
- Order dated 28.01.2016 in Petition No.225/TT/2013 has determined the Yearly Transmission Charges (YTC) for the Period 2011-12, 2012-13 & 2013-14 for the above lines excepting the line at Sl.No.(h) for the reason that the said 110 kV S/C Line from Konaje Manjeshwar connecting Karnataka Kerala has not been certified by the SRLDC in terms of the provisions of CERC (Sharing of Inter-State Transmission Charges and Losses) Regulations, 2010.
- 7.0. In this regard, it is submitted that the Petitioner vide letters dated 17.12.2013, 25.03.2014 & 16.04.2014 addressed to the SRLDC, has requested it to include the 110 kV S/C Line from Konaje Manjeshwar Line in the list of Natural Inter-State Transmission lines of Karnataka. However, the SRLDC has not certified the said Line for including the same in the list of Natural ISTS Lines. Recently, the Petitioner vide letter dated 19.06.2017 has once again requested

the SRLDC to include the said Line in the list of Natural ISTS Lines of the Petitioner. The Petitioner is yet to receive a response to the same. However, in anticipation of approval from SRLDC, the Petitioner has included the said Line in the instant Application / Petition also. Copies of the letters dated 17.12.2013, 25.03.2014, 16.04.2014 and 19.6.2017 are enclosed herewith as ANNEXURE 1 to 4 respectively.

- Assets as part of Intra-State Transmission Lines duly complying with the Rules and Regulations issued by the Hon'ble Karnataka Electricity Regulatory Commission. The Schematic Diagram of Karnataka Intra-State Transmission Network including Inter-State Lines connecting with other States is enclosed and collectively marked as ANNEXURE 5.
- 9.0. Now, the Petitioner is filing the instant Petition before this Hon'ble Commission for approval of Transmission Tariff for the following Natural ISTS Lines for the Year 2014-19.
- 10.0. It is submitted that the Petitioner has not included the 220 kV Chikkodi Kolhapur D/C Line in the instant Petition for Determination of Tariff for the following reasons:

- The said Line is not an ISTS Line but a Radial-Line between Karnataka and Maharashtra States.
- ii. This Line is built by Karnataka and Maharashtra
 State for use of Bilateral Transactions.
 Karnataka is drawing power from Maharashtra
 Radially and the Line is not connected to
 Karnataka State Grid.
- iii. This Line cannot be used under ISTS Scheme as Chikkodi Sub-Station is connected to KPTCL System rather than Inter-State Transmission System.
- iv. Transmission Charges for the Line are paid by DISCOMs of Karnataka and therefore cannot be used for increasing ATC of Southern Region.
- v. In this case, even Intervening System is also owned by Karnataka. Hence the first right of usage is with Karnataka DISCOMs.
- vi. This Line is radially operated to draw power from Maharashtra to cater the need of electricity during the periods of acute shortage of power in Karnataka State.
- vii. KPTCL has not synchronized the said Line with the State Grid as this Line cannot be used to facilitate the drawal of power by Neighbouring

States as KPTCL Network is already overloaded and cannot support the flow of such power as the same may lead to collapse of the Grid. To avoid such a situation, KPTCL prefers not to connect the said Line to the Grid.

- 11.0. The details of KPTCL's Transmission Lines connected with Neighbouring States (Natural Inter State transmission Line) included in the Tariff Petition with Dates of Commissioning are as shown below:
 - a) 220 kV S/C Line from Ambewadi Ponda (Date of Commission: 15.03.1992)
 - b) 220 kV S/C Line from Ambewadi Xyldom (Date of Commission: 15.03.1992)
 - c) 220 kV S/C Line from Sedam Tandur
 (Year of Commission: 1984)
 - d) 220 kV S/C Line from Alipura Ragalapadu (Date of Commission: 25.09.1975)
 - e) 220 kV S/C Line from Yerandanahalli Hosur (Year of Commission: 1962)

f) 220 kV S/C Line from Kadakola - Kaniyampet

(Date of Commission: 05.03.1978)

g) 220 kV S/C Line from Konaje – Manjeshwar (Date of Commission: 13.10.1966)

Assets have been constructed more than 30 years ago.

Later on, the Termination Points of some of the above
Lines have been changed. In this connection, it is
submitted that the actual Capital Cost and additional
capital cost incurred for the above assets are not
available separately with the Petitioner / Licensees. It
is also submitted that the above Transmission Assets
are being properly maintained. The Petitioner therefore
proposes the following Capital Cost as per the cost data
available with it:

Capital Cost (Rs.in Lakhs)

| Sl. N o. | Name of Transmission Asset | 2014- 15 | 2015- 16 | 2016- 17 | 2017- 18 | 2018- |
|----------------|---|-------------|-------------|-------------|-------------|--------|
| 1 | 220 KV S/C Line from Ambewadi – Ponda | 750 50 | | 752.58 | 752.58 | 752.58 |
| 2 | 220 KV S/C Line from Ambewadi — Xyldom | 752.58 | 5 | | | |
| 3 | 220 KV S/C Line from Sedam – Tandur | 66.75 | 66.7 | 66.75 | 66.75 | 66.7 |

| | | | | | | 5 |
|---|--|--------|---------|----------|--------|-------|
| 4 | 220 KV S/C Line from Allipura – Ragalapadu | 37.75 | 37.75 | 37.75 | 37.75 | 37.75 |
| 5 | 220 KV S/C Line from Yerandanahalli — Hosur | 1.81 | 1.81 | 1.81 | 1.81 | 1.81 |
| 6 | 220 KV S/C Line from Kadakola – Kaniyampet | 61.4 | 61.44 | 61.44 | 61.44 | 61.44 |
| 7 | 110 KV S/C Line from Konaje – Manjeshwar | 4.93 | 4.93 | 4.93 | 4.93 | 4.93 |
| | Total | 925.20 | 6 925.2 | 2 925.26 | 925.20 | 6 |
| | Total Capital Cost | t : | 4626.30 | | | |

13.0 Transmission Tariff

13.1 As per Regulation 20(3) and Regulation 21 of CERC (Terms and Conditions of Tariff) Regulations 2014, Tariff for Transmission of Electricity on ISTS shall comprise Transmission Charges for recovery of Annual Fixed Cost consisting of (a) Return on Equity, (b) Interest on Loan (c) Depreciation (d) Interest on Working Capital and (e) Operation and Maintenance

expenses. The Petitioner herein encloses a table with the calculations substantiating the cost arrived at hereinabove as ANNEXURE 6.

The ARR / Tariff for FY 2014-19 has been worked out as per Part III, Annexure-1 of the prevalent Tariff Regulations for the period FY 2014-19. The Petitioner enclosed herewith copy relevant extract of the CERC (Terms and Conditions of Tariff) Regulations 2004 and the Tariff Filing Formats and the prescribed Formats as ANNEXURE 7.

- 13.2 The physical details of Natural Inter-State
 Transmission Lines and connected Bay Extensions at
 respective Sub-Stations including Dates of
 Commissioning are shown in Form-1 of respective
 Transmission Line Tariff Filing Formats.
- 13.3 The Statement of Capital Cost of the Transmission Assets is shown in Form-4 A.
- 13.4 The Transmission Tariff of Inter State Transmission
 Lines connected between Karnataka and other
 Neighboring States (Natural Inter-State Lines) are
 summarized below:-

Vidyuth Bhavan, Panaji, Goa - 403 001

Filed by: Karnataka Power Transmission Corporation

Limited,

Represented by

Date: 10.2017

Executiv Engineer(Electrical) (Legal)

Regulatory Affairs

Annual Revenue Requirement (Rs.in Lakhs)

| SI | 7.7 | | 2015- | 2016 | 2017- | 2018- |
|----|--|--------|---------|-----------|---------|----------|
| N | Name of Transmission | 2014- | | 17 | 18 | 19 |
| 0. | Asset | 15 | 16 | 1/ | | |
| 1 | 220 KV S/C Line from Ambewadi — Ponda | 234.08 | 0.44.00 | 252 75 | 262 41 | 274 42 |
| 2 | 220 KV S/C Line from Ambewadi – Xyldom | | 244.23 | 233.73 | 263.41 | 274-42 |
| 3 | 220 KV S/C Line from Sedam — Tandur | 81.57 | 84.63 | 87.4 5 | 90.25 | 93.46 |
| 4 | 220 KV S/C Line from Allipura – Raghalapadu | 102.68 | 106.4 | 109.8 | 113.27 | 117.17 |
| 5 | 220 KV S/C Line from Yerandanahalli — Hosur | 79.56 | 82.40 | 84.9 | 87.55 | 90.49 |
| 6 | 220 KV S/C Line from Kadakola - Kaniyampet | 98.7 | 115.1 | 118.9 | 6 122.6 | 7 126.96 |
| 7 | 110 KV S/C Line from Konaje – Manjeshwar | 36.0 | 0 37.5 | 5 38.5 | 2 39.34 | 4 40.66 |
| | Total | 632. | 5 670.4 | 693. | 5 716.4 | 9 743.16 |
| | Total ARR | | | 3456 | .25 | |

Regulatory Affairs (Legal)
KPTCL, Kaveri Bhavan,
Bangalore - 560 009

- 13.5 The Depreciation on the assets during 2014-19 has been calculated as per Straight Line Method and Rates as specified in Appendix-II of CERC (Terms & Conditions of Tariff) Regulations, 2014. These details are provided in Form-10 A.
- 13.6 It is submitted that in the ARR / Tariff indicated at 13.4 above, the RoE has been calculated by grossing up the Base Rate @15.5% with the Normal Tax Rate (MAT) based on the illustration under Regulation 25(2) (i) of CERC (Terms and Conditions of Tariff) Regulations, 2014 including the Tax @ 18.5%, Surcharge @ 0.93%, S.H.E.C @ 0.19% and Cess @ 0.39% which totals to 20.01%.
 - 13.7 It is submitted that in the ARR / Tariff indicated at 13.4 above, the Interest on Working Capital has been worked out as per Regulation 28 (c) of the CERC (Terms & Conditions of Tariff) Regulations, 2014.
 - 13.8 It is to submit that in the ARR / Tariff indicated at 13.4 above, the O&M Expenditure projections are derived based on the norms for O&M Expenditure as specified under Regulation 29 (3) of the CERC (Terms & Conditions of Tariff) Regulations, 2014.
 - 13.9 The Transmission Charges and other related Charges indicated at 12.4 above is exclusive of Incentive, Late Payment Surcharge, any Statuary Taxes, Levies, Duties, Cess, Filing Fee, Licensee Fee or any kind of

Tax / Cess imposed / charged by Government and other Local Bodies and the same shall be borne and additionally paid by the Beneficiaries to the Petitioner and the same shall be charged / billed separately by the Petitioner on the Beneficiaries.

14 Fee for Application for Determination of Tariff:

14.1 CERC (Payment of Fees) Regulations 2012, notified on 30.03.2012, mandates that an Application for Determination of Tariff for Inter-State Transmission System or an element there of shall be accompanied by a Fee payable at the rate of 0.11% of the Total Transmission Charges per annum claimed by the Transmission Licensee for the year 2014-15, subject to a minimum of Rs.1,00,000/- (Rupees One Lakh only).

Provided further that the Transmission Licensee who may, while making the Application for Determination of Tariff, pay the fee at the rate specified in the Regulations for the First Year and thereafter, on Annual Basis at the rates specified in the Regulations by 30th April of each Year.

14.2 Accordingly, the Application Fees for the Transmission Charges claimed by KPTCL, the Petitioner works out to Rs. 4,17,430/- (Rupees Four Lakhs Seventeen Thousand Four Hundred and Thirty

only) for the years 2014-19 calculated as noted below and the same has been paid through RTGS.

| | Total ARR / Tariff | Rate | Fee Payable |
|---------|--------------------|-------|------------------------------|
| Year | Rs. In Lakhs | | Rs in Lakhs |
| 2014-15 | 632.59 | 0.11% | 69,584.902 1,00,000 |
| 2015-16 | 670.45 | 0.1 % | 73,749.50,00,000 |
| 2016-17 | 693.56 | 0.1 % | 76,291.6 041,00,000 |
| 2017-18 | 716.49 | 0.1 % | 78,813.9 on 1,00,000 |
| 2018-19 | 743.16 | 0.1-% | 81,747.6 00 1,00,000 |
| P | | Total | 3,80, 187.5 on 5,00,000 Cmin |

Or say, Rs. 3,80,181.50, rounded off to Rs. 5,00,000

PRAYER

- 1. Approve the Capital Cost of the Inter State Transmission Lines connecting between Karnataka and neighbouring states for Rs.4626.30 Lakhs for FY 2014-2019 as at Sl.No.12.
- 2. Approve the Transmission Tariff for Assets covered under this Petition at Rs. 3456.25 Lakhs as at 13.4 above.
- 3. Approve the reimbursement of Expenditure by the Beneficiaries towards Petition Filing Fee and the expenses incurred on publication of Notices in the Application for approval of Tariff as per the Terms of Regulation 52 of CERC (Terms and conditions of

Tariff) Regulations, 2014 and other Expenditure (if any) relating to the filing of Petition.

- 4. Allow the Petitioner to bill and recover any Taxes on Transmission Charges separately from beneficiaries, if Petitioner is subject to such Taxes.
- 5. Allow the Petitioner to bill and recover Licensee Fee separately from the beneficiaries.
- 6. Allow the Petitioner to file the Transmission Tariffs for next Control Period for the Assets included in the present petition with Capital Cost and Additional Capital cost incurred on the above assets.

Filed BY:

Karnataka Power Transmission Corporation Limited,

Represented by

Bengaluru

Date: 18 .10.2017

(Ramesh:Gudi)

Executive Engineer (Electrical)

Regulatory Affairs (Legal)

BEFORE

THE CENTRAL ELECTRICITY REGULATORY COMMISSION, NEW DELHI

IN THE MATTER OF: Approval under Regulation 86 of CERC (Conduct of Business) Regulations, 1999 and CERC (Terms and Conditions of Tariff) Regulations, 2014 for determination of Tariff of Transmission Lines connecting between Karnataka and other Neighbouring States (Natural Inter State Transmission Lines) pertains to Karnataka Power Transmission Corporation Limited, Namely a) 220 kV D/C Line from Ambewadi — Ponda, b) 220 kV S/C Line from Ambewadi — Xyldom, c) 220 kV S/C Line from Sedam — Tandur, d) 220 kV S/C Line from Allipura — Ragalapadu, e) 220 kV S/C Line from Yerandanahalli — Hosur, f) 220 kV S/C Line from Kadakola — Kaniyampet and g) 220 kV S/C Line from Konaje — Manjeshwar from 01.04.2014 to 31.03.2019.

Petitioner:

Karnataka Power Transmission Corporation Limited Registered Office: KPTCL, Kaveri Bhavan,

Kempegowda Road,

Bangalore- 560 009

Respondents:

 Chairman and Managing Director,
 Transmission Corporation of Andhra Pradesh Limited (APTRANSCO),

6th Floor, A Block, Vidyut Soudha, Khairatabad, Hyderabad – 500 082

Chairman and Managing Director,
 Transmission Corporation of Telangana Limited (TSTRANSCO),
 6th Floor, A Block, Vidyut Soudha, Khairatabad,
 Hyderabad – 500 082

Managing Director,
 Tamil Nadu Transmission Corporation Limited
 (TANTRANSCO),
 No.144, Anna Salai,
 Chennai – 600 002

- Chairman,
 Kerala State Electricity Board,
 Vidyuthi Bhavanam, Pattom,
 Thiruvananthapuram 695 004
- 5. Chief Electrical Engineer,
 Electricity Department,
 Government of Goa,
 Vidyuth Bhavan, Panaji, Goa 403 001

Affidavit Verifying the Petition

I, Sri Ramesh.Gudi, S/o Narasimhacharya.Gudi, working as Executive Engineer (Electrical), Regulatory Affairs (Legal) in Karnataka Power Transmission Corporation Limited having its registered office at Kaveri

Bhavan, Kempegowda Road, Bangalore - 560009, do hereby solemnly affirm and state as under:-

- That I am the Executive Engineer (Electrical),
 Regulatory Affairs (Legal) in Karnataka Power
 Transmission Corporation Limited, the representative of
 the Petitioner in the above matter, and I am duly
 authorized to make this affidavit.
- the directions of Hon'ble CERC in Order dated 14.03.2012 & 21.08.2012 in Petition No. 15/Suo-Motu/2012 and Order dated 12.05.2017 in Petition No.07/SM/2017 for determination of Tariff for Transmission Lines connecting between Karnataka and Neighbouring States (Natural Inter State Transmission Lines) pertaining to Karnataka Power Transmission Corporation Limited, namely a) 220 kV S/C Line from Ambewadi Ponda, b) 220 kV S/C Line from Ambewadi Xyldom, c) 220 kV S/C Line from Sedam Tandur, d) 220 kV S/C Line from Alipura Raghulapadu, e) 220 kV S/C Line from Yerandanahalli Hosur, f) 220 kV S/C Line from Kadakola Kaniyampet and g) 110 kV S/C Line from Konaje Manjeshwar.

3. Transmission Tariff for the above Transmission Assets are included in the Hon'ble KERC Transmission Tariff Order-2015, dated 2nd March 2015, Tariff Order-2016, dated 30th March 2016 and Tariff Order-2017, dated

1th April 2017.

- 4. I submit that after Hon'ble CERC approves the Transmission Tariff for the above Transmission Lines, the Petitioner will inform the same to the Hon'ble KERC by filing its Trueing-up Petition as per the KERC Regulations.
- The documents attached with the petition are legible copies and are duly attested.
- The statements made in the petition herein are based on Petitioner Corporation's official records maintained in the ordinary course of business and I believe them to be true and correct.

EXECUTIVE ENGINEER (EL) Regulatory Affairs (Legal) KPTCL, Kaveri Bhavan, Bangalore - 560 009

executive engin/eer (el) Regulatory Affairs (Legal) KETCL Kaveri Et avan.

Sungalora - 550 009

VERIFICATION

Solemnly affirmed at Bengaluru on this day of

Outside 2017 that the contents of the above Affidavit are true to my knowledge and belief and no part of it is false and pothing material has been concealed there from.

SWORN TO BEFORE ME

RADHA, M.A., M.L., M.P.M ADVOGATE & NOTARY PUBLIC #702, 'Akshaya Lakshmi Milaya'

5th Block (Melkel Palya), Benashankari 6th Stage Kengeri Hobli, Vidyapeeta Fost, Bangalore-560 060

Wo. W. Lurren



Annuare-1

Phone No: 080 22240228 / 22214663

Fax No : 080 22214663

KARNATAKA POWER TRANSMISSION CORPORATION LIMITED

No: KPTCL / B36/ 2012-13/ 369-70

Encl: 2 Pages.

Regulatory Affairs, Corporate Office, Kaveri Bhavan, Bangalore -560009. Dated: / ץ. 12 2013

To,

The Chief Engineer (Elec) SRLDC, KPTCL, A.R Circle, Bangalore.

CERC MATTER
URGENT

Sub: Natural ISTS petition filed by KPTCL on 12.02.2013- reg Ref: CERC Letter No. Docket/225/TT/2013 dated:28.10.2013

Referring to the above, I wish to inform you that the CERC in its letter dated.28.10.2013 (Copy enclosed) has indicated that Karnataka State's Natural ISTS line i.e 110 kV S/C Konaje – Manjeshwar, has not been included in the list of Natural ISTS lines provided by SRLDC to CERC.

In this connection, I request you to include the said line in the Natural ISTS line list of Karnataka, and inform the same to CERC under intimation to this office.

Yours faithfully,

Financial Advisor, (A & R)

Copy to

The Secretary, Central Electricity Regulatory Commission, 4th floor, Chanderlok Building, #36, Janpath, New Delhi-110001 For kind information.



Phone No: 080 22240228 / 22214663

Fax No : 080 22214663

KARNATAKA POWER TRANSMISSION CORPORATION LIMITED

No: KPTCL / B36/ 2012-13/ 498

Encl: 1 Page

Regulatory Affairs, Corporate Office, Kaveri Bhavan, Bangalore -560009. Dated: 25-03-2014

The Chief Engineer (Elec), SRLDC, KPTCL, A.R Circle, Bangalore.

REMINDER

CERC MATTER URGENT

Sub: Natural ISTS petition filed by KPTCL on 12.02.2013- reg

Ref: 1. CERC Letter No.Docket/225/TT/2013 dated: 28.10.2013.

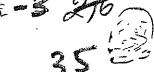
2. KPTCL / B36/ 2012-13/369-70 dated:17.12.2013

In the letter cited under ref (2), it was requested to include 110 kV S/C Konaje-Manjeshwar, line in the list of Karnataka State's Natural ISTS line and to please inform the same to CERC.

Action taken in this regard may be intimated to this office immediately,

Yours faithfully,

Financial Advisor, (A & R)





Phone 080-22214663

KARNATAKA POWER TRANSMISSION CORPORATION LIMITED

No: KPTCL/B36/07 - 08

Encl

Regulatory Affairs Corporate Office Kaveri Bhavan, Bangalore -560009 Dated: 16.04.2014.

To

The Chief Engineer, Electricity, Southern Region Load Despatch Center, A.R.Circle, BANGALORE.

Sir,

Sub: Natural ISTS petition filed by KPTCL on 12.02.2013 - reg Ref: 1. CERC letter No.Docket/225/TT/2013 dated 28.10.2013.

2. KPTCL/B36/2012-13/369-70 dated 17.12.2013. 3. KPTCL/B36/2012-13/498-99 dated 25.03.2014.

In letters cited under ref (2) and (3), it was requested to include the 110 KV S/C Konaje - Manjeshwar line in the list of Karnataka state's Natural ISTS line and to inform the same to CERC.

Action taken in this regard may please be intimated to this office immediately.

Yours faithfully,

Firancial Advisor (A & R)

Copy to:

The Secretary, Central Electricity Regulatory Commission, 4th Floor, Chanderlok Building #36, Janpath, New Delhi-110 001 For kind information.

> EXECUTIVE ENGINEER (EL) Regulatory Affairs (Legal) KPTCL, Kaverl Bhavan, Bangalore - 560 009

Telephone No: 080-22108119 Fax No

Website

: 080 22214663

: www.kptcl.com



Email ID:fara 57@gmail.com

KARNATAKA POWER TRANSMISSION CORPORATION LIMITED

Corporate Identity Number (CIN):U40109KA1999SGC025521

Registered Office of the Company: Corporate Office, Kaveri Bhavan, K.G Road, Bengaluru -560009.

Dated: 19.06.2017

No. KPTCL/B36/70606 /17-18/148 - 53

Encl: As per letter (11 pages)

The Chief Engineer, Elect, Southern Regional Load Despatch Centre, AR Circle, Bangalore - 560 001.

Sir,

Sub: Natural ISTS Lines of KPTCL for determination of tariff for FY14-- 19 by CERC.

Ref: 1. T.O Letter No. KPTCL/B36/12-13/369-70 dated 17.12.2013.

2. T.O Letter No. KPTCL/B36/12-13/498 dated 25.03.2014.

3. T.O Letter No. KPTCL/B36/07-08 dated 16.04.2014.

CERC in its order dated 12.05.2017 in petition No.07/SM/2017 has directed the owners/developers of natural Inter-State lines to file the petitions for determination of tariff for the control period 2014-19 in accordance with CERC (Terms & Conditions of Tariff) Regulations, 2014. A copy of the Order is enclosed herewith for ready reference.

The following Natural ISTS Lines of KPTCL was listed for grant of tariff for the period 2009-14:

| SI. No | Natural ISTS Line of KPTCL | Connecting States |
|-----------|--|-----------------------|
| ì | . 220kV SC Line from Ambewadi-Ponda | Karnataka-Goa |
| 2 | 220kV SC Line from Ambewadi-Xyldom | Karnataka-Goa |
| 3 | 220kV DC Line from Chikodi-Kholapur | Karnataka-Maharashtra |
| 4 | 220kV SC Line from Sedam-Tandur | Karnataka-AP |
| 5 | 220kV SC Line from Yerandahalli-Hosur | Karnataka-TN |
| 6 | 220kV SC Line from Kadakola-Kaniyampet | Karnataka-Kerala |
| 7 | 220kV SC Line from Alipura-Raghlapadu | Karnataka-AP |

Dor 4C S. /

Contd...2

As per the letters cited under reference, it was requested to include the 110kV SC Line from Konaje-Manjeshwar as Natural ISTS Lines of KPTCL for determination of tariff by CERC for 2009-14. So far, this Office has not received any replies.

Now, as the tariff petition for the period 2014-19 is to be filed before CERC, you are requested to include the 110kV SC Line from Konaje-Manjeshwar as Natural ISTS Lines of KPTCL along with above listed existing Lines.

Action taken in this regard may please be furnished immediately as the tariff petition is to be filed before CERC.

Yours faithfully,

Financial Advisor (RA)

Copy to: 1. The Chief Engineer (Ele), LDC, KPTCL, AR Circle, Bengaluru with a request to pursue with SRLDC.

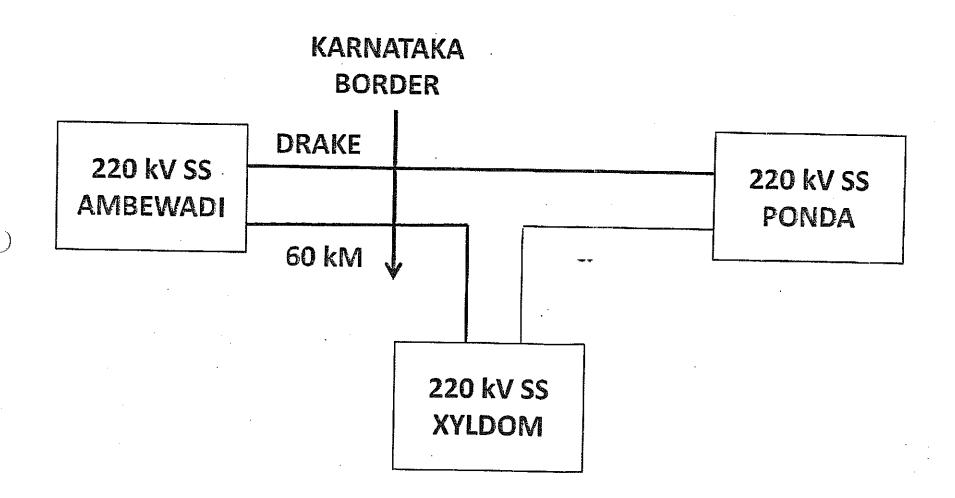
2. Executive Engineer (Ele), Regulatory Affairs (Legal), KPTCL, for information.

3. PS to MD/DF/DT for placing it before MD/DF/DT for kind information.

Fis - 765255

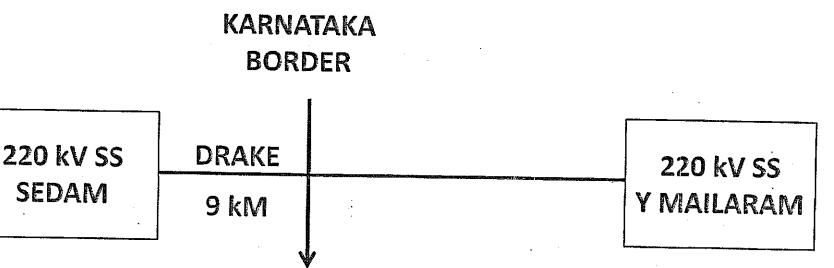
EXECUTIVE ENGINEER (EL)
Regulatory Affairs (Legal)
KPTCL, Kaveri Bhavan,
Bangalore - 560 009

220 KV AMBEWADI-PONDA DC LINE



Executive Engareer (Elect)
(Planning) South
(PTCL Kaveri Bhayan
Bangalore-560 009

220 kV SEDAM-TANDUR SC LINE



LOC 160

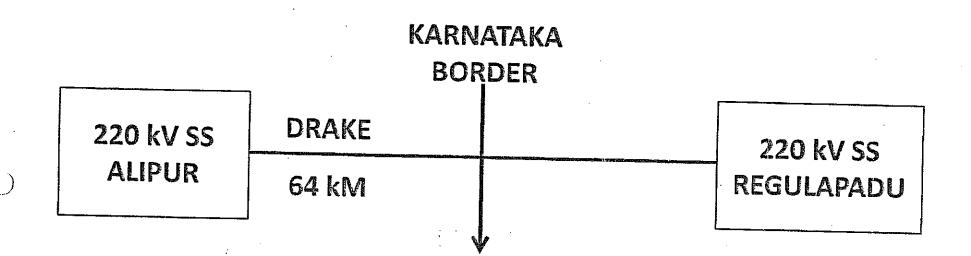
LACCULIVE Engineer (Electric (Planning) South

KPTCL Kaveri Bhavan

Bandalore-560 007

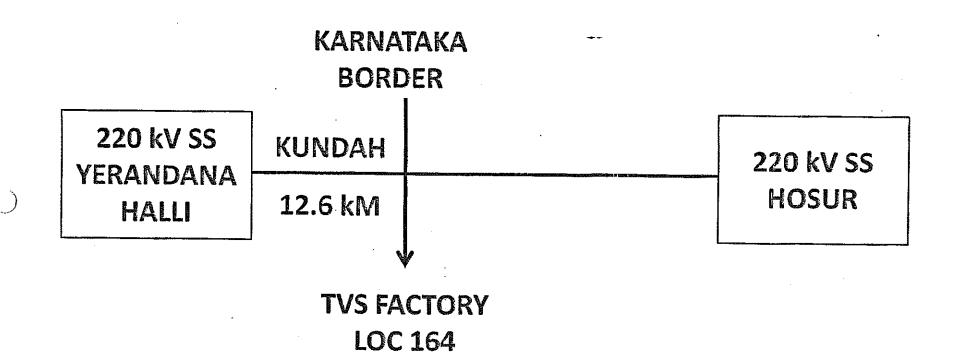
40

220 kV ALIPUR-REGULAPADU SC LINE



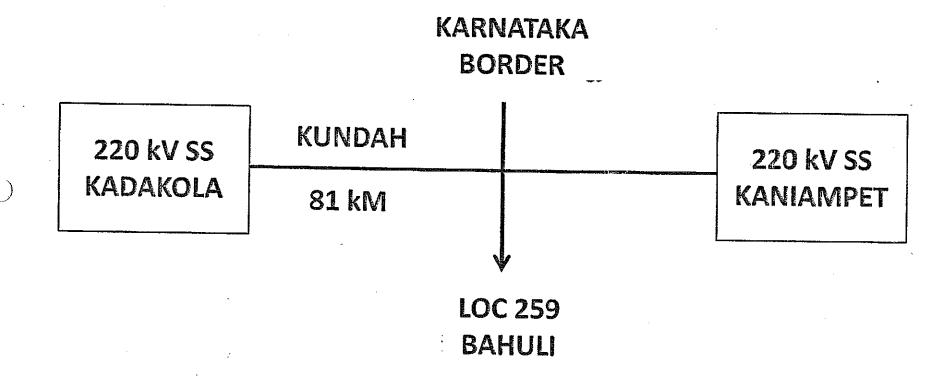
Lectutive Engineer (Electrical (Pluming) South
(PTCL Kaveri Bhayan

220 kV YERANDANAHALLI-HOSUR SC LINE



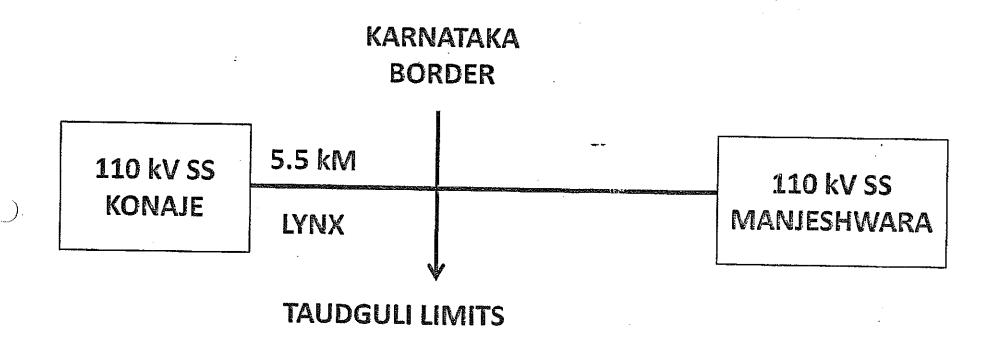
Lacutive Enginees (1920) (Flanding) Bouth Upyel Revell Charen Republice-360 000

220 KV KADAKOLA-KANIAMPET SC LINE



(Planning) South
(PTCL Kaveri Bhavan
Bangalere-560 009

110 KV KONAJE-MANJESHWARA SC LINE



(Planning) South (Planning) South (PTCL Kaveri Bhavan Bangalore-560 000 Regulatory Affairs (Legal)
KPTCL, Kaveri Bhavan,
Bangalore + 560 009

TARIFF FILING FORMS (TRANSMISSION & COMMUNICATION SYSTEM)

FOR DETERMINATION OF TARIFF

PART-III

Annexure-I

INDEX

PART-III

Checklist of Forms and other information/documents for tariff filing for Transmission System & Communication System

| F BT. | Title of Tariff Filing Forms (Transmission & Communication | |
|-----------|--|--------------|
| Form No. | System) | Tick |
| FORM-1 | Summary Sheet | |
| FORM-2 | Details of Transmission Lines and Substations, Communication | |
| FORIVI-2 | System | |
| FORM-3 | Normative parameters considered for tariff computations | |
| FORM- 4 | Abstract of admitted parameters for the existing transmission | |
| IOIQVI- 4 | assets/elements under project. | |
| FORM-4A | Statement of Capital cost | |
| FORM-4B | Statement of Capital Works in Progress | |
| FORM-4C | Abstract of Capital Cost Estimates and Schedule of | - |
| PORTVI-4C | Commissioning for the New Project/Element | |
| FORM-5 | Element wise Break-up of Project/Asset/Element Cost for | <u> </u> |
| TOKW-5 | Transmission System or Communication System | |
| FORM-5A | Break-up of Construction/Supply/Service packages | |
| FORM-5B | Details of element wise cost of the Project | |
| FORM-6 | Financial Package upto COD | - |
| FORM-7 | Statement of Additional Capitalisation after COD | |
| FORM-7A | Financing of Additional Capitalisation | |
| FORM-7B | Statement of Additional Capitalisation during fag end* of the | |
| 1014175 | Project |]] |
| FORM-8 | Calculation of Return on Equity | |
| FORM-8A | Details of Foreign Equity | |
| FORM-8B | Details of additional RoE . | |
| FORM-9 | Details of Allocation of corporate loans to various transmission | |
| TOIGHT | elements | |
| FORM-9A | Details of Project Specific Loans | |
| FORM-9B | Details of Foreign loans | |
| FORM-9C | Calculation of Weighted Average Rate of Interest on Actual | |

| Form No. | Title of Tariff Filing Forms (Transmission & Communication | Tick |
|--------------|--|--|
| roint 140. | System) | , I ICE |
| | Loans | |
| FORM-9D | Loans in Foreign Currency | |
| FORM-9E | Calculation of Interest on Normative Loan | |
| FORM-10 | Calculation of Depreciation Rate | |
| FORM-10A | Statement of Depreciation | |
| FORM-10B | Statement of De-capitalisation - | |
| FORM-11 | Calculation of Interest on Working Capital | |
| FORM-12 | Details of time over run | |
| FORM-12A | Incidental Expenditure during Construction | |
| FORM- 12B | Draw Down Schedule for Calculation of IDC & Financing | |
| FUIGH 12b | Charges | |
| FORM-13 | Breakup of Initial spares | <u> </u> |
| FORM-14 | Other Income as on COD | - |
| FORM-15 | Actual cash expenditure | <u> </u> |
| Other Inform | ation/ Documents | <u> </u> |
| Sl. No. | Information/Document | Tick |
| | Certificate of incorporation, Certificate for Commencement of | |
| 1 | Business, Memorandum of Association, & Articles of Association | |
| .1. | (For New Project(s) setup by a company making tariff application | |
| | for the first time to CERC) | |
| | Region wise and Corporate audited Balance Sheet and Profit & | |
| 2 | Loss Accounts with all the Schedules & annexures for the new | |
| - | Transmission System & Communication System for the relevant | |
| | years. | |
| 3 . | Copies of relevant loan Agreements | |
| 4 | Copies of the approval of Competent Authority for the Capital | |
| . 4 | Cost and Financial package. | |
| 5 . | Copies of the Equity participation agreements and necessary | 1 |
| 5 . | approval for the foreign equity. | |
| 6 | Copies of the BPTA/TSA/PPA with the beneficiaries, if any | |
| 7 | Detailed note giving reasons of cost and time over run, if | |
| <i>'</i> . | applicable. | |

,;; ,;;

| Form No. | Title of Tariff Filing Forms (Transmission & Communication | Tick |
|--|--|------|
| TOIM NO. | System) | 11CK |
| | List of supporting documents to be submitted: | |
| With the same of t | a. Detailed Project Report | |
| | b. CPM Analysis | |
| | c. PERT Chart and Bar Chart | |
| | d. Justification for cost and time Overrun | |
| | Transmission Licensee shall submit copy of Cost Audit Report | |
| | along with cost accounting records, cost details, statements, | |
| | schedules etc. for the transmission system as submitted to the | |
| 8 | Govt. of India for first two years i.e. 2014-15 and 2015-16 at the | |
| | time of mid-term true-up in 2016-17 and for balance period of | |
| | tariff period 2014-19 at the time of final true-up in 2019-20. In case | |
| | of initial tariff filing the latest available Cost Audit Report should | 1 |
| | be furnished. | |
| 9 | Any other relevant information, (Please specify) | |

Note1: Electronic copy of the petition (in word format) and detailed calculation as per these formats (in excel format) and any other information submitted shall also be furnished in the form of CD/Floppy disc.

PART-III FORM-1

Summary Sheet

Name of the Petitioner:

Name of the Region: Name of the Project: Name of the Transmission Element

Name of the Transmission Element or Communication System

(Amount in Rs. Lakh)

| | | | _ | | | | | | | | | | |
|----------------------|--|----|----|--------------|------------------|--------------------|-----------------------|-----------------------------|----------------|---------|--------|-----|---|
| . Land | 2018-19 | ø | o. | | | | | | | | | | • |
| CHICAGO DE LOS CANTO | 2017-18 | 7 | , | | | | | | | | | | |
| (7.171.7) | 2016-17 | ý | 0 | | | | | | | | | . • | • |
| | 2015-16 | ıs | , | | | | • | | | | | | • |
| | 2014-15 | 4 | • | | , | | | | | | | | |
| | Existing 2014-15 2015-16 2016-17 2017-18 2018-19 | c. | , | | | | | | | | | | |
| | Form No. | | | | | | | | | | | | |
| | Particulars | 2 | | Depreciation | Interest on Loan | Return on Family 1 | ביביתיון כזו דיל מזרג | Interest on Working Capital | O & M Expenses | 1.7.1.1 | 1 oral | | |
| | S.N o. | | | - | 1.2 | 1.3 | | 1.4 | 1.5 | | | | |

Note

1: Details of calculations, considering equity as per regulation, to be furnished (As per Form 8).

(Petitioner)

EXECUTIVE ENCINEER (EL)
Regulatory Affaits (Legal)
KPTCL, Kaveri Bhavan.
Bangalore - 560 609

Part-III Form 8

| <u>Calculation of Re</u> | eturn on Equity |
|-------------------------------------|-----------------|
| Name of the Petitioner: | |
| Name of the Region: | |
| Name of the Project: | |
| Name of the Transmission Element or | |
| Communication System | |

(Amount in

| S.N o. | Particulars | Existing 2013-14 | 2014- 15 | 2015-16 | 2016- 17 | 2017-18 | 2018-19 |
|--------|----------------------------------|------------------|-------------|---------|-------------|--|---------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| 1.1 | Equity as on COD/Admitted equity | | | | | <u>. </u> | |
| 1.2 | Notional Equity for Add Cap | | | | | | |
| 1.3 | Total Equity | | | | | | |
| 1.4 | Return on Equity* | | | | | | |
| | Total | | | | | | |
| | | | | | | | |

Note
1: * - To be calculated on average equity during the year.

(Petitioner)

| <u>Calculation of Interest on Workin</u> | PART-III FORM- 11 |
|--|----------------------|
| Name of the Petitioner: | <u>s outtur</u> |
| Name of the Region: | |
| Name of the Project: | |
| Name of the Transmission Element or | |
| Communication system | |

(Amount in Rs. Lakh) SI. Existing 2014-15 **Particulars** 2015-16 2016-17 2018-19 No. 2013-14 2017-18 1 2 4 6 8 1 O & M Expenses 2 Maintenance Spares 3 Receivables 4 Total Working Capital 5 Rate of Interest

6 Interest on Working Capital

(Petitioner)

PART-III FORM-4A

<u>Statement of Capital cost</u> (To be given for relevant dates and year wise)

| Nan Nan | ne of the Petitioner: ne of the Region: ne of the Project: | |
|------------|---|------------------------------|
| | ne of the Transmission Element or | |
| | | (Amount in Rs Lal |
| | | As on relevant date.1 |
| A | a) Opening Gross Block Amount as per books | |
| | b) Amount of capital liabilities in A(a) above | |
| | c) Amount of (i) IDC (ii) FC (iii) FERV & (iv) Hedging cost included in A(a) above | (i) (ii) |
| | | (iii) (iv) |
| | d) Amount of IEDC (excluding IDC, FC, FERV & Hedging coincluded in A(a) above | ost) |
| | | |
| B | a) Addition in Gross Block Amount during the period | |
| | b) Amount of capital liabilities in B(a) above | |
| | c) Amount of (i) IDC (ii) FC (iii) FERV & (iv) Hedging cost included in B(a) above | (i) (ii) (iii) (iv) |
| | d) Amount of IEDC (excluding IDC, FC, FERV & Hedging coincluded in B(a) above | ost) |
| | | |
| С | a) Closing Gross Block Amount as per books | |
| | b) Amount of capital liabilities in C(a) above | , |
| | c) Amount of (i) IDC (ii) FC (iii) FERV & (iv) Hedging cost included in C(a) above | (i) (ii) (iii) |

included in C(a) above

 $1. Relevant \ date/s \ means \ date \ of \ COD \ of \ transmission \ element/s \ or \ Communication \ system \ and \ financial \ year \ start \ date \ and \ date$

d) Amount of IEDC (excluding IDC, FC, FERV & Hedging cost)

(Petitioner)

52

Statement of Depreciation

Name of the Petitioner:
Name of the Region:
Name of the Project:
Name of the Transmission Element or Communication system:

(Amount in Rs. Lakh)

| SI. No. | Particulars | 2013-14 | 2014-15 | 2015-16 | 2016-17 | 2017-18 | 2018-19 |
|---------------------|---|---------|---------|---------|---------|---------|---------|
| (1) | (2) | (3) | (4) | (2) | (9) | (2) | (8) |
| , -1 | Opening Capital Cost | | | | | | |
| 2 | Closing Capital Cost | | | | | | |
| 3 | Average Capital Cost | | | | | | |
| 4 | Freehold land | | | | | | |
| 5 | Rate of depreciation | | | | | | |
| 9 | Depreciable value | | | | | | |
| | Balance useful life at the beginning of the | | | | | | |
| 7 | period | | | | | • | |
| 8 | Remaining depreciable value | | | | | | |
| 6 | Depreciation (for the period) | | | | | | |
| 10 | Depreciation (annualised) | | - | - | | | |
| L vol /v | Cumulative depreciation at the end of the | | | | | | |
| 11 | periad | | - | | | | |
| | Less: Cumulative depreciation | | | | | | |
| | adjustment on account of de- | | | | | | |
| 12 | capitalisation | | | | | | |
| | Net Cumulative depreciation at the end | | | | | | |
| 13 | of the period | | | | | | |

1. In case of details of FERV and AAD, give information for the applicable period.

(Petitioner)

EXECUTINGENSINEER (EL)
Regulatory Affairs (Legal)
KPTCL, Kavari Bhavan,
annualore - 560 009

CENTRAL ELECTRICITY REGULATORY COMMISSION NEW DELHI

Dated 21, February, 2014

NOTIFICATION

No.L-1/144/2013/CERC.- In exercise of powers conferred under section 178 of the Electricity Act, 2003 (36 of 2003) read with section 61 thereof and all other powers enabling it in this behalf, and after previous publication, the Central Electricity Regulatory Commission hereby makes the following regulations, namely:

CHAPTER -1

PRELIMINARY

- 1. Short title and commencement. (1) These regulations may be called the Central Electricity Regulatory Commission (Terms and Conditions of Tariff) Regulations, 2014.
- These regulations shall come into force on 1.4.2014, and unless reviewed earlier (2)or extended by the Commission, shall remain in force for a period of five years from 1.4.2014 to 31.3.2019:

Provided that where a project or a part thereof, has been declared under commercial operation before the date of commencement of these regulations and whose tariff has not been finally determined by the Commission till that date, tariff in respect of such project or such part thereof for the period ending 31.3.2014 shall be determined in accordance with the Central Electricity Regulatory Commission (Terms and Conditions of Tariff) Regulations, 2009 as amended from time to time.

- 2. <u>Scope and extent of application</u>. (1) These regulations shall apply in all cases where tariff for a generating station or a unit thereof and a transmission system or an element thereof including communication system used for inter-State transmission of electricity is required to be determined by the Commission under section 62 of the Act read with section 79 thereof.
- (2) These regulations shall not apply for determination of tariff in case of the following:
 - (a) Generating stations or inter-State transmission systems whose tariff has been discovered through tariff based competitive bidding in accordance with the guidelines issued by the Central Government and adopted by the Commission under Section 63 of the Act:
- (b) Generating stations based on renewable sources of energy whose tariff is determined in accordance with the Central Electricity Regulatory Commission (Terms and Conditions for Tariff determination from Renewable Energy Sources) Regulations, 2012, as amended from time to time or any subsequent enactment

CHAPTER - 5

TARIFF STRUCTURE

- 20. Components of Tariff: (1) The tariff for supply of electricity from a thermal generating station shall comprise two parts, namely, capacity charge (for recovery of annual fixed cost consisting of the components as specified in Regulation 21 of these regulations) and energy charge (for recovery of primary and secondary fuel cost and limestone cost where applicable).
- (2) The tariff for supply of electricity from a hydro generating station shall comprise capacity charge and energy charge to be derived in the manner specified in Regulation 31 of these regulations, for recovery of annual fixed cost (consisting of the components referred to in regulation 21) through the two charges.
- (3) The tariff for transmission of electricity on inter-State transmission system shall comprise transmission charge for recovery of annual fixed cost consisting of the components specified in Regulation 21 of these regulations.
- 21. Capacity Charges: The Capacity charges shall be derived on the basis of annual fixed cost. The annual fixed cost (AFC) of a generating station or a transmission system including communication system shall consist of the following components:

- (a) Return on equity;
- (b) Interest on loan capital;
- (c) Depreciation;
- (d) Interest on working capital, and
- (e) Operation and maintenance expenses:

Provided that special allowance in lieu of R&M where opted in accordance to Regulation-16 and/or separate compensation allowance in accordance to Regulation 17, wherever applicable shall be recovered separately and shall not be considered for computation of working capital.

- 22. Energy Charges: Energy charges shall be derived on the basis of the landed fuel cost (LFC) of a generating station (excluding hydro) and shall consist of the following cost:
 - (a) Landed Fuel Cost of primary fuel; and
 - (b) Cost of secondary fuel oil consumption:

Provided that any refund of taxes and duties along with any amount received on account of penalties from fuel supplier shall have to be adjusted in fuel cost.

23. Landed Fuel Cost for Tariff Determination: The landed fuel cost of primary

- 52. Application fee and the publication expenses: The following fees, charges and expenses shall be reimbursed directly by the beneficiary in the manner specified herein:
- (1) The application filing fee and the expenses incurred on publication of notices in the application for approval of tariff, may in the discretion of the Commission, be allowed to be recovered by the generating company or the transmission licensee, as the case may be, directly from the beneficiaries or the long term transmission customers /DICs, as the case may be:
- (2) The following fees and charges shall be reimbursed directly by the beneficiaries in proportion of their allocation in the generating stations or by the long term transmission customers /DICs in proportion to their share in the inter-State transmission systems determined in accordance with the Central Electricity Regulatory Commission (Sharing of inter-State Transmission Charges and Losses) Regulations, 2010, as amended from time to time;
- (a) Fees and charges paid by the generating companies and inter-State transmission licensees (including deemed inter-State transmission licensee) under the Central Electricity Regulatory Commission (Fees and Charges of Regional Load Despatch Centre and other related matters) Regulations, 2009, as amended from time to time or any subsequent amendment thereof;

CHAPTER - 6

COMPUTATION OF ANNUAL FIXED COST

- 24. Return on Equity: (1) Return on equity shall be computed in rupee terms, on the equity base determined in accordance with regulation 19.
- (2) Return on equity shall be computed at the base rate of 15.50% for thermal generating stations, transmission system including communication system and run of the river hydro generating station, and at the base rate of 16.50% for the storage type hydro generating stations including pumped storage hydro generating stations and run of river generating station with pondage:

Provided that:

- i. in case of projects commissioned on or after 1st April, 2014, an additional return of 0.50 % shall be allowed, if such projects are completed within the timeline specified in Appendix-I:
- ii. the additional return of 0.5% shall not be admissible if the project is not completed within the timeline specified above for reasons whatsoever:
- iii. additional RoE of 0.50% may be allowed if any element of the transmission project is completed within the specified timeline and it is certified by the Regional Power Committee/National Power Committee that commissioning of the particular element will benefit the system operation in the regional/national grid:

- the rate of return of a new project shall be reduced by 1% for such period as may be decided by the Commission, if the generating station or transmission system is found to be declared under commercial operation without commissioning of any of the Restricted Governor Mode Operation (RGMO)/ Free Governor Mode Operation (FGMO), data telemetry, communication system up to load dispatch centre or protection system:
- v. as and when any of the above requirements are found lacking in a generating station based on the report submitted by the respective RLDC, RoE shall be reduced by 1% for the period for which the deficiency continues:
- vi. additional RoE shall not be admissible for transmission line having length of less than 50 kilometers.

25. Tax on Return on Equity:

(1) The base rate of return on equity as allowed by the Commission under Regulation 24 shall be grossed up with the effective tax rate of the respective financial year. For this purpose, the effective tax rate shall be considered on the basis of actual tax paid in the respect of the financial year in line with the provisions of the relevant Finance Acts by the concerned generating company or the transmission licensee, as the case may be. The actual tax income on other income stream (i.e., income of non generation or non transmission business, as the case may be) shall not be considered for the calculation of "effective tax rate".

60

(2) Rate of return on equity shall be rounded off to three decimal places and shall be computed as per the formula given below:

Rate of pre-tax return on equity = Base rate / (1-t)

Where "t" is the effective tax rate in accordance with Clause (1) of this regulation and shall be calculated at the beginning of every financial year based on the estimated profit and tax to be paid estimated in line with the provisions of the relevant Finance Act applicable for that financial year to the company on pro-rata basis by excluding the income of non-generation or non-transmission business, as the case may be, and the corresponding tax thereon. In case of generating company or transmission licensee paying Minimum Alternate Tax (MAT), "t" shall be considered as MAT rate including surcharge and cess.

Illustration.-

(i) In case of the generating company or the transmission licensee paying Minimum Alternate Tax (MAT) @ 20.96% including surcharge and cess:

Rate of return on equity = 15.50/(1-0.2096) = 19.610%

- (ii) In case of generating company or the transmission licensee paying normal corporate tax including surcharge and cess:
 - (a) Estimated Gross Income from generation or transmission business for FY 2014-15 is Rs 1000 crore.
 - (b) Estimated Advance Tax for the year on above is Rs 240 crore.

- (c) Effective Tax Rate for the year 2014-15 = Rs 240 Crore/Rs 1000 Crore = 24%
- (d) Rate of return on equity = 15.50/(1-0.24) = 20.395%
- (3) The generating company or the transmission licensee, as the case may be, shall true up the grossed up rate of return on equity at the end of every financial year based on actual tax paid together with any additional tax demand including interest thereon, duly adjusted for any refund of tax including interest received from the income tax authorities pertaining to the tariff period 2014-15 to 2018-19 on actual gross income of any financial-year. However, penalty, if any, arising on account of delay in deposit or short deposit of tax amount shall not be claimed by the generating company or the transmission licensee as the case may be. Any under-recovery or over-recovery of grossed up rate on return on equity after truing up, shall be recovered or refunded to beneficiaries or the long term transmission customers/DICs as the case may be on year to year basis.
- 26. Interest on loan capital: (1) The loans arrived at in the manner indicated in regulation 19 shall be considered as gross normative loan for calculation of interest on loan.
- (2) The normative loan outstanding as on 1.4.2014 shall be worked out by deducting

- (6) In case of the existing projects, the balance depreciable value as on 1.4.2014 shall be worked out by deducting the cumulative depreciation as admitted by the Commission upto 31.3.2014 from the gross depreciable value of the assets.
- (7) The generating company or the transmission license, as the case may be, shall submit the details of proposed capital expenditure during the fag end of the project (five years before the useful life) alongwith justification and proposed life extension. The Commission based on prudence check of such submissions shall approve the depreciation on capital expenditure during the fag end of the project.
- (8) In case of de-capitalization of assets in respect of generating station or unit thereof or transmission system or element thereof, the cumulative depreciation shall be adjusted by taking into account the depreciation recovered in tariff by the decapitalized asset during its useful services.
- 28. Interest on Working Capital:(1) The working capital shall cover:
- (a) Coal-based/lignite-fired thermal generating stations
 - (i) Cost of coal or lignite and limestone towards stock, if applicable, for 15 days for pit-head generating stations and 30 days for non-pit-head generating stations for generation corresponding to the normative annual plant availability factor or the maximum coal/lignite stock storage capacity whichever is lower;

- (ii) Cost of coal or lignite and limestone for 30 days for generation corresponding to the normative annual plant availability factor;
- (iii) Cost of secondary fuel oil for two months for generation corresponding to the normative annual plant availability factor, and in case of use of more than one secondary fuel oil, cost of fuel oil stock for the main secondary fuel oil;
- (iv) Maintenance spares @ 20% of operation and maintenance expenses specified in regulation 29;
- (v) Receivables equivalent to two months of capacity charges and energy charges for sale of electricity calculated on the normative annual plant availability factor; and
- (vi) Operation and maintenance expenses for one month.
- (b) Open-cycle Gas Turbine/Combined Cycle thermal generating stations
 - (i) Fuel cost for 30 days corresponding to the normative annual plant availability factor, duly taking into account mode of operation of the generating station on gas fuel and liquid fuel;
 - (ii) Liquid fuel stock for 15 days corresponding to the normative annual plant availability factor, and in case of use of more than one liquid fuel, cost of main liquid fuel duly taking into account mode of operation of the generating stations of gas fuel and liquid fuel;
 - (iii) Maintenance spares @ 30% of operation and maintenance expenses specified in

Regulation 29;

- (iv) Receivables equivalent to two months of capacity charge and energy charge for sale of electricity calculated on normative plant availability factor, duly taking into account mode of operation of the generating station on gas fuel and liquid fuel; and (v) Operation and maintenance expenses for one month.
- (c) Hydro generating station including pumped storage hydro electric generating station and transmission system including communication system:
 - (i) Receivables equivalent to two months of fixed cost;
 - (ii) Maintenance spares @ 15% of operation and maintenance expenses specified in regulation 29; and
 - (iii) Operation and maintenance expenses for one month.
- (2) The cost of fuel in cases covered under sub-clauses (a) and (b) of clause (1) of this regulation shall be based on the landed cost incurred (taking into account normative transit and handling losses) by the generating company and gross calorific value of the fuel as per actual for the three months preceding the first month for which tariff is to be determined and no fuel price escalation shall be provided during the tariff period.
- (3) Rate of interest on working capital shall be on normative basis and shall be considered as the bank rate as on 1.4.2014 or as on 1st April of the year during the tariff period 2014-15 to 2018-19 in which the generating station or a unit thereof or the

transmission system including communication system or element thereof, as the case may be, is declared under commercial operation, whichever is later.

(4) Interest on working capital shall be payable on normative basis notwithstanding that the generating company or the transmission licensee has not taken loan for working capital from any outside agency.

29. Operation and Maintenance Expenses:

- (1) Normative Operation and Maintenance expenses of thermal generating stations shall be as follows:
 - (a)-Coal based and lignite fired (including those based on Circulating Fluidised Bed Combustion (CFBC) technology) generating stations, other than the generating stations/units referred to in clauses (b) and (d):

(in Rs Lakh/MW)

| Year ! | 200/210/250 | 300/330/350 | 500 MW Sets | 600 MW Sets |
|------------|-------------|-------------|-------------|-------------|
| | MW Sets | MW Sets | | and above |
| FY 2014-15 | 23.90 | 19.95 | 16.00 | 14.40 |
| FY 2015-16 | 25.40 | 21.21 | 17.01 | 15.31 |
| FY 2016-17 | 27.00 | 22.54 | 18.08 | 16.27 |
| FY 2017-18 | 28.70 | 23.96 | 19.22 | 17.30 |
| FY 2018-19 | 30.51 | 25.47 | 20.43 | 18.38 |

operation for a period of three years as on 1.4.2014, operation and maintenance expenses shall be fixed at 2% of the original project cost (excluding cost of rehabilitation and resettlement works) for the first year of commercial operation. Further, in such case, operation and maintenance expenses in first year of commercial operation shall be escalated @6.04% per annum up to the year 2013-14 and then averaged to arrive at the O&M expenses at 2013-14 price level. It shall be thereafter escalated @ 6.64% per annum to arrive at operation and maintenance expenses in respective year of the tariff period.

- In case of the hydro generating stations declared under commercial operation on or after 1.4.2014, operation and maintenance expenses shall be fixed at 4% and 2.50% of the original project cost (excluding cost of rehabilitation & resettlement works) for first year of commercial operation for stations less than 200 MW projects and for stations more than 200 MW respectively and shall be subject to annual escalation of 6.64% per annum for the subsequent years.
- (3) Transmission system
- (a) The following normative operation and maintenance expenses shall be admissible for the transmission system:

| Norms for sub-stations (in Rs Lakh per bay) | 2014-15 | 2015-16 | 2016-17 | 2017-18 | 2018-19 |
|---|---------|---------|---------|---------|---------|
| 765 kV | 84.42 | 87.22 | 90.12 | 93.11 | 96.20 |
| 400 kV | 60.30 | 62.30 | 64.37 | 66.51 | 68.71 |

| Norms for sub-stations | 2014-15 | 2015-16 | 2016-17 | 2017-18 | 2018-19 |
|----------------------------|-------------|-------------|----------------|-------------|----------------|
| (in Rs Lakh per bay) | | | | | |
| 220 kV | 42.21 | 43.61 | 45.06 | 46.55 | 48.10 |
| 132 kV and below | 30.15 | 31.15 | 32.18 | 33.25 | 34.36 |
| 400 kV Gas Insulated | E1 E4 | E0 0E | EE 00 | F. 0.4 | FO 50 |
| Substation | 51.54 | 53.25 | 55.02 | 56.84 | 58. <i>7</i> 3 |
| Norms for AC and HVDC | ines (in Rs | Lakh per km | .) . | | |
| Single Circuit (Bundled | | | | | |
| Conductor with six or | 0.707 | 0.731 | 0. <i>7</i> 55 | 0.780 | 0.806 |
| more sub-conductors) | 0.707 | 0.751 | 0.200 | 0.700 | 0.000 |
| Single Circuit (Bundled | | | | | |
| Conductor with four sub- | 0.606 | 0.627 | 0.647 | 0.669 | 0.691 |
| conductors) | | | | | |
| Single Circuit (Twin & | 0.404 | 0.418 | 0.432 | 0.446 | 0.461 |
| Triple Conductor) | 0.404 | 0.416 | 0.452 | 0.446 | 0.461 |
| Single Circuit (Single | 0.202 | 0.209 | 0.216 | 0.000 | 0.000 |
| Conductor) | 0.202 | 0.209 | 0.216 | 0.223 | 0.230 |
| Double Circuit (Bundled | | | | | |
| conductor with four or | 1.062 | 1 007 | 1 100 | 4 4 274 | a aaa |
| more sub-conductors) | 1.002 | 1.097 | 1.133 | 1.171 | 1.210 |
| Double Circuit (Twin & | 0.707 | 0.0704 | A 671-1- | 0.7700 | |
| Triple Conductor) | 0.707 | 0.731 | 0.755 | 0.780 | 0.806 |
| Double Circuit (Single | 0.303 | 0.010 | 0.004 | 0.004 | |
| Conductor) | 0.505 | 0.313 | 0.324 | 0.334 | 0.346 |
| Multi Circuit (Bundled | | | • | | |
| conductor with four or | 1 000 | 7 000 | 7 000 | | |
| more sub-conductors) | 1.863 | 1.925 | 1.989 | 2.055 | 2.123 |
| Multi Circuit (Twin & | 1 240 | 1 000 | 1 004 | 7.060 | |
| Triple Conductor) | 1.240 | 1.282 | 1.324 | 1.368 | 1.413 |
| Norms for HVDC Stations | | | | <u>1</u> | |
| HVDC Back-to-back | | | . • | ì | · |
| stations (Rs. Lakh per 500 | 578 | 627 | 6 7 9 | <i>7</i> 36 | 797 |
| MW) | | | ĺ | | |
| Rihand-Dadri HVDC bi- | | | | | |
| pole scheme (Rs. Lakh) | 1511 | 1637 | 1774 | 1922 | 2082 |
| Talcher- Kolar HVDC bi- | , , | | | | |
| pole scheme (Rs. Lakh) | 1173 | 1271 | 1378 | 1493 | 1617 |
| Balia-Bhiwadi HVDC bi- | | | | | |
| pole scheme (Rs. Lakh) | 1537 | 1666 | 1805 | 1955 | 2119 |

68

Provided that operation and maintenance expenses for new HVDC bi-pole scheme for a particular year shall be allowed pro-rata on the basis of normative rate of operation and maintenance expense for 2000 MW, Talcher-Kolar HVDC bi-pole scheme for the respective year:

Provided further that the O&M expenses norms for HVDC bi-pole line shall be considered as Single Circuit quad AC line.

- (b) The total allowable operation and maintenance expenses for the transmission system shall be calculated by multiplying the number of bays and kms of line length with the applicable norms for the operation and maintenance expenses per bay and per km respectively.
- (c) The operation and maintenance expenses of communication system forming part of inter-state transmission system shall be derived on the basis of the actual O&M expenses for the period of 2008-09 to 2012-13 based on audited accounts excluding abnormal variations if any after prudence check by the Commission. The normalised O&M expenses after prudence check, for the years 2008-09 to 2012-13 shall be escalated at the rate of 3.02% for computing base year expenses for FY 2012-13 and 2013-14 and at the rate of 3.32% for escalation from 2014-15 onwards.

2014 Regré

Appendix-II

Depreciation Schedule

| Sr. No. | Asset Particulars | Depreciation Rate (Salvage Value=10%) | |
|----------|--|--|--|
| A | Land under full ownership | SLM | |
| B | Land under lease | 0.00% | |
| (a) | for investment in the land | 3.34% | |
| (b) | For cost of clearing the site | 3.34% | |
| (c) | Land for reservoir in case of hydro generating station | 3.34% | |
| С | Assets purchased new | | |
| a. | Pl & Machinery in generating stations | | |
| . (i) | Hydro electric | 5.28% | |
| (ii) | Steam electric NHRB & waste heat recovery boilers | 5.28% | |
| (iii) | Diesel electric and gas plant | 5.28% | |
| ъ. | Cooling towers & circulating water systems | 5.28% | |
| · c. | Hydraulic works forming part of the Hydro-generating stations | | |
| (i) | Dams, Spillways, Weirs, Canals, Reinforced concrete flumes and siphons | 5:28% | |
| (ii) | Reinforced concrete pipelines and surge tanks, steel pipielines, sluice gates, steel surge tanks, hydraulic control valves and hydraulic works | 5.28% | |
| <u> </u> | Building & Civil Engineering works | | |
| (i) | Offices and showrooms | 2.249/ | |
| (ii) | Containing thermo-electric generating plant | 3.34% 3.34% | |
| (iii) | Containing hydro-electric generating plant | 3.34% | |
| (iv) | Temporary erections such as wooden structures | 100.00% | |
| (∀) | Roads other than Kutcha roads | 3.34% | |
| (vi) | Others | 3.34% | |
| e. | Transformers, Kiosk, sub-station equipment & other fixed apparatus (including plant | | |
| (i) | Transformers including foundations having rating of 100 KVA and over | 5.28% | |
| (ii) | Others | 5.28% | |

| (1 | Switchgeaning | · | | |
|--------------------------------------|---|-------------|--------|--|
| | Switchgear including cable connections | | | |
| g | | | 5.28% | |
| . | (i) Station type | | | |
| | (ii) Pole type | | | |
| | iii) Synchronous | | 5.28% | |
| | iii) Synchronous condenser | | 5.28% | |
| h. | Batteries | | 5.28% | |
| | Tind | | | |
| 1 | (i) Underground cable including joint boxes and disconnection (ii) Cable in Cable including joint boxes | | 5.28% | |
| | ii) Cable duct cross | ted | | |
| | ii) Cable duct system | | 5.28% | |
| · î, | Const. | | 5.28% | |
| | Overhead lines including cable support | | | |
| (| | | | |
| | higher than 66 KV | | | |
| (ii | Lines on steel supports operating at terminal voltages higher than 13.2 KV but not exceeding (CIG) | | 5.28% | |
| (222 | higher than 13.2 KV but not exceeding 66 KV Lines on steel on reinforced | | | |
| (III) Lines on steel on reinformal | | | 5.28% | |
| (iv | Lines on treated wood support | | 5.28% | |
| | | | 5.28% | |
| <u>j.</u> | Meters | | 0.20% | |
| 7- | | | 5.28% | |
| k. | Self propelled vehicles | | 0.20/6 | |
| 1 | | | 9.50% | |
| 1. | Air Conditioning Plants | | 7.00% | |
| (i) | Static | | | |
| (ii) | Portable | | E 2004 | |
| | | | 5.28% | |
| m.(i) | Office furniture and furnishing | | 9.50% | |
| | Office Edifforment 1 | | | |
| (iii) | Internal wiring including fittings and apparatus Strret Light fittings | | 6.33% | |
| (iv) | Strret Light fittings | 1 | .33% | |
| | 7 - Muligo | | .33% | |
| n. | Apparatus let on hire | 5 | .28% | |
| (i) (| Other than motors | | | |
| (ii) N | Aotors | | | |
| | | 9. | 50% | |
| . 0 | Ommunicoti. | 6.3 | 33% | |
| (i) R | ommunication equipment | | | |
| (ii) Te | adio and high frequency carrier system | | | |
| -\\-\ <u>-\</u> | elephone lines and telephones | 6.3 | 3% | |
| | | | 3% | |
| - L | F Equipment including software | | | |
| | | 15.0 | 0% | |
| Ar | y other assets not covered above | | U /0 | |
| | - Lack above | 5.28 | | |

135

EXECUTIVE ENGINEER (EL)
Regulatory Affair (Legal)
KPTCL, Kaveri Bhavan,
Bangalore - 560 009

BEFORE

THE CENTRAL ELECTRICITY REGULATORY COMMISSION, NEW DELHI

IN THE MATTER OF: Approval under Regulation 86 of CERC (Conduct of Business) Regulations 1999 and CERC (Terms and Conditions of Tariff) Regulations 2014 for Transmission Tariff, for Transmission Lines Connecting between Karnataka and other Neighbouring States (Natural Inter State Transmission Lines) pertaining to Karnataka Power Transmission Corporation Limited., Namely,

- a) 220 kV S/C Line from Ambewadi Ponda (Karnataka Goa)
- b) 220 kV S/C Line from Ambewadi Xyldom (Karnataka Goa)
- c) 220 kV S/C Line from Sedam Tandur (Karnataka Telangana)
- d) 220 kV S/C Line from Allipura Ragalapadu (Karnataka Andhra Pradesh)
- e) 220 kV S/C Line from Yerandanahalli Hosur (Karnataka Tamil Nadu)
- f) 220 kV S/C Line from Kadakola Kaniyampet (Karnataka Kerala)
- g) 110 kV S/C Line from Konaje Manjeshwar (Karnataka Kerala)

EXECUTIVE ENGINEER (EL)
Regulatory Affair's (Legal)
KPTCL, Kaveri Bhavan,
Bangalore - 560 609

Petitioner:

Karnataka Power Transmission Corporation Limited.

Registered Office:

KPTCL, Kaveri Bhavan, Kempegowda Road, Bengaluru- 560 009

Karnataka Power Transmission Corporation Limited, the Pctitioner in the matter is filing an application under Regulation 86 of CERC (Conduct of Business) Regulations 1999 for approval of Transmission Tariff of Transmission Lines connecting between Karnataka and other neighbouring states (Natural Inter State Transmission Lines) pertaining to Karnataka Power Transmission Corporation Limited for the period FY 2014-15.

In terms of Regulation 3(2) of the Central Electricity Regulatory Commission (Procedure for making of application for determination of tariff, publication of the application and other related matters) Regulations, 2004, copy of complete Tariff Application is hereby served to the Respondents (Beneficiaries of Transmission Assets) for information and necessary action in the matter.

The Tariff Application is hereby served through the Nodal Officers of the Respondents for CERC matters on the addresses as mentioned below:

EXECUTIVE ENGINEER (EL)
Regulatory Affairs (Legal)
KPTCL, Kaveri Bhavan,
Bangalore - 560 009

Addresses of the Nodal Officers:

- Chairman and Managing Director,
 Transmission Corporation of Andhra Pradesh Limited (APTRANSCO)
 6th Floor, A Block, Vidyut Soudha,
 Khairatabad,
 Hyderabad 500 082
- Chairman and Managing Director,
 Transmission Corporation of Telangana Limited (TSTRANSCO),
 6th Floor, A Block, Vidyut Soudha,
 Khairatabad,
 Hyderabad 500 082
- Managing Director,
 Tamil Nadu Transmission Corporation Limited (TANTRANSCO),
 No.144, Anna Salai,
 Chennai—600 002
- Chairman,
 Kerala State Electricity Board,
 Vidyuthi Bhavanam, Pattom,
 Thiruvananthapuram 695 004
- Chief Electrical Engineer,
 Electricity Department,
 Government of Goa,

Regulatory Affairs (Legal) KPTCL, Kaveri Bhavan, Bangalore - 560 009



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Central Electricity Regulatory Commission

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WELCOME! Mr. SRIRANGA SUBBANNA (JUSTLAW) view profile 27-Nov-2017 15;53;14

Filing Fees Asset Details Check List Summary Print Reports

ieral Information Respondent Details Court Details Related Petitions **Identical Petitions** <u>Prayer</u> **Attachments**

FILING - CHECK LIST

| No. | Name | Description | Status |
|-----|-----------|---|---|
| | | Whether subject matter of the petition / application furnished? | ⊕ _{Yes} O _{No} O _I |
| | | Whether provision of Act / regulation under which the Petition / Application is filed has been furnished? | ⊕Yes ONo Ot |
| | | Whether any interim relief has been prayed for? | Oyes ONo ®N |
| | | Whether prescribed fees have been paid? | ®Yes ○No ○I |
| | | Number and content of pages in both the formats of a Petition are same. | @yes ONo OI |
| | | Whether all documents filed are legible I clear? | @yes ONo Ot |
| | | Whether petition has been supported by affidavit signed / notarized / attested? | ®Yes ONo ⊙I |
| | | Whether all annexure referred to in petition has been filed? | ⊕Yes ONo OI |
| • | | Whether Vakalatnama / Memo of appearance / any other cocument authorizing the representative has been filed along with the petition? | @Yes ONo O) |
| | | Details of Order / Judgments, if any, passed by SC or High Court on any of the issues raised in the petition. If so, whether copy enclosed? | Oyes Ono ® |
| | | Whether index of documents has been filled and the petition paginated and serially numbered? | ®Yes ONo O! |
| | | Whether sufficient copies of petition / application filed? | ©Yes ONo Ot |
| | | Whether all necessary parties have been impleaded as Respondents? | ®Yes ONo O≀ |
| | | Whether copies of petition have been served on Respondents? | ©Yes ONo ⊕N |
| | | Whether petition has been posted in web-site as specified in the regulations? | Oyes ONo ®I |
| | FORM-1 | Summary Sheet | Oyes ONo ®I |
| | FORM-2 | Details of Transmission Lines and Substations, Communication System | Oyes ONo ® |
| | FORM-3 | Normative parameters considered for tariff computations | Oyes ONo ® |
| | FORM-4 | Abstract of admitted parameters for the existing transmission assets/elements under project. | Oyes ONo ®I |
| | FORM-4A | Statement of Capital cost | Oyes ONo ⊕t |
| | FORM-4B | Statement of Capital Works in Progress | Oyes ONo ® |
| | FORM-4C | Abstract of Capital Cost Estimates and Schedule of Commissioning for the New Project/Element | ⊕Yes ⊕No ®I |
| | FORM-5 | Element wise Break-up of Project/Asset/Element Cost for Transmission System or Communication System | Oyes ONo ®i |
| | FORM-5A | Break-up of Construction/Supply/Service packages | Oyes ONo @1 |
| | FORM-SB | Details of element wise cost of the Project | Oyes Ono ® |
| | FORM-6 | Financial Package upto COD | Oyes Ono ® |
| | FORM-7 | Statement of Additional Capitalisation after COD | Oyes Ono Ot |
| | FORM-7A | Financing of Additional Capitalisation | Oyes Ono @r |
| | FORM-7B | Statement of Additional Capitalisation during fag end* of the | OYes ONo ®I |
| • | FORM-8 | Calculation of Return on Equity | |
| | FORM-8A | Details of Foreign Equity | Oyes Ono Or |
| | FORM-8B | Details of additional RoE | Oyes ONo ® |
| | | | Oyes ONo ® |
| | FORM-9 | FORM-9 Details of Allocation of corporate loans to various transmission elements | OYes ONo ®h |
| | FORM-9A | Details of Project Specific Loans | OYes ONo €h |
| | FORM-9B | Details of Foreign loans | OYes ONo ®t |
| | FORM-9C | Calculation of Weighted Average Rate of Interest on Actual Loans | OYes ONo ®I |
| | FORM-9D | Loans in Foreign Currency | ⊖ _{Yes} ⊕ _{No} ⊕ _N |
| | FORM-9E | Calculation of Interest on Normative Loan | OYes ONo ®N |
| | FORM-10 . | Calculation of Depreciation Rate | '⊕Yes ⊖No ®h |
| | FORM-10A | Statement of Depreciation | Oyes ONo ®1 |
| | FORM-10B | Statement of De-capitalisation | OYes ONo ®1 |
| | FORM-11 | Calculation of Interest on Working Capital | Oyes Ono Ot |
| | FORM-12 | Details of time over run | @Yes ONo ®t |
| | FORM-12A | Incidental Expenditure during Construction | Oyes Ono @1 |
| | FORM-12B | Draw Down Schedule for Calculation of IDC & Financing Charges | ∰Yes ⊕No ®N |
| | FORM-13 | Breakup of Initial spares | Oyes ONo ®I |
| | FORM- 14 | Other Income as on COD | Oyes ONo ®N |
| | FORM-15 | Actual cash expenditure | OYes ONo ®N |
| | | | |
| | 4 | Copies of the approval of Competent Authority for the Capital Cost and Financial package. | OYes ONo @N |

| 54 | 8 | Transmission Licensee shall submit copy of Cost Audit Report along with cost accounting records, cost details, statements, schedules etc. for the transmission system as submitted to the Govt. of India for first two years i.e. 2014-15 and 2015-16 at the time of mid-term true-up in 2016-17 and for balance period of tariff period 2014-19 at the time of final true-up in 2019-20. In case of initial tariff filing the latest available Cost Audit Report should be furnished. | Oyes Ono Ona |
|------|------------------------------|--|--------------------------------------|
| 55 | 9 | Any other relevant information, (Please specify) | Oyes Ono Ona |
| 56 | SM - 1 | Whether the petition is posted on website? | Oyes Ono @na |
| 57 | SM - 1 (a) | If yes, whether details submitted | Oyes Ono Ona |
| | SM - 1 (b) | If no, whether the reasons for not posting is submitted | OYes ONO ®NA |
| 59 | SM - 2 | Whether a copy of the petition is served on all the beneficiaries / respondents | Oyes Ono @na |
| 60 . | SM - 2 (a) | (a) If yes, whether proof of service has been filed | OYes Ono @NA |
| 61 | SM - 2 (b) | (b) If no, the reasons thereof | OYes Ono ®NA |
| 62 | SM - 3 | Whether notice of tariff petition published in newspapers in terms of the CERC Regulations. | OYes ONo ONA |
| 63 | SM - 3 (a) | If yes, whether the details of the publication made is submitted | Oyes Ono Ona |
| 64 | SM - 3 (b) | If no, whether reasons thereof | Oyes Ono ®na |
| 65 | TT(Procedural) -4 | Whether Standing Committee approval for the assets covered in the petition submitted | Oyes Ono ®na |
| 66 | TT(Procedural) -5 | Whether RPC approval for the assets covered in the petition submitted | Oyes Ono Ona |
| 67 | TT(Procedural) -6 | Whether Investment Approval of the Board of Directors certified by the Company Secretary is submitted | Oyes ONo ONA |
| 68 | TT(Procedural) | Whether coloured Schematic diagram of the assets covered in the petition is submitted | Oyes Ono @NA |
| 69 | TT(Procedural) -8 | Whether coloured Single Line Diagram and GA drawing of the assets covered in the petition is submitted | Oyes Ono Ena |
| 70 | TT(Procedural) -9 | Whether relevant pages of DPR for Reactors approved prior to 31.1.2014, if any, is submitted | Oyes Ono ®NA |
| 71 | TT(Procedural) | Whether tariff for POC purpose has been granted. If yes, whether the details submitted | ⊖Yes ⊖No © NA |
| 72 | TT(Procedural) | Whether assets covered in the petition are the only assets included in the Investment Approval? | Oyes Ono ®na |
| 73 | TT(Procedural) -11.a | (a) If no, whether the details of assets and the Petition No. in which assets are covered are given? | Oyes Ono ®NA |
| 74 | TT(COD) -12 | Whether the asset has been declared commercially available? | Oyes Ono ®NA |
| 75 | TT(COD) -12.a | (a) Whether inspection certificate from CEA is enclosed. | Oyes Ono ®NA |
| 76 | TT(COD) -12,b | (b) Whether trial operation certificate from RLDC is enclosed | Oyes Ono ®NA |
| 77 | TT(COD) -12.c | (c) Whether COD letter is enclosed | Oyes Ono ®NA |
| 78 | TT(COD) -13 | Information on the current frevised status of commissioning of asset. | Oyes Ono ONA |
| 79 | TT(COD) -13.a | I. In case of change in COD of assets, whether Auditor's certificate/DOCO letter (for actual COD) and | OYes ONo ONA |
| 80 | TT(COD) -13,b | Management certificate /Auditors certificate (in case of anticipated COD) with all Tariff filing forms submitted. ii. Whether Auditors certificate/Management certificate of expenditure of assets in the Form of element wise | OYes ONo ®NA |
| | | segregation of capital cost and segregation of IDC/IEDC included thereon as on DOCO is submitted | O Tes ONO ONA |
| 81 | TT(COD) -14 | Whether certificate of incorporation, certificate of commencement of Business, Memorandum of Association and Articles of Association, in case of new companies, submitted | OYes ONo @NA |
| 82 | TT(COD) -15 | Whether region wise and corporate audited balance sheef and P&L account with all schedules and annexure for new transmission system and communication system for the relevant years filed | O _{Yes} Ono ®na |
| 83 | TT(COD) -16 | Whether copies of BPTA, TSA and PPA with beneficiaries, if any, submitted | Oyes Ono ®NA |
| 84 | TT(CC) - 17 | Whether approval of competent authority of capital cost and financial package submitted | Oyes Ono ONA |
| 85 | TT(CC) - 18 | Whether equity participation agreements and approval for foreign equity submitted | Oyes Ono Ona |
| 86 | TT(CC) - 20 | In case of revision in the capital cost, whether RCE certified by the Company Secretary is submitted | CYes ONo ®NA |
| 87 | TT(CC) -21 | Whether Statement of capital cost as per Books of Accounts (accrual basis) for the assets as per Form 4A and | Oyes ONo @NA |
| 88 | TT(TO) - 22 | amount of capital liabilities in gross block filed. Whether assets completed within the time line given in the investment Approval. | Oyes Ono Ona |
| 89 | TT(TO) - 22.a | (a) If no, the reasons for time overrun given | Oyes Ono Ona |
| 90 | TT(TO) - 22.b | (b) Whether documents in support of time overrun enclosed | Oyes Ono ONA |
| 91 | TT(TO) - 22.c | (c)Whether activity-wise delay (planned and achieved as per Appendix-I) submitted | Oyes Ono ONA |
| 92 | TT(TO) - 23 | Whether Auditor Certificate (Revised) and Management Certificate (Revised) is enclosed in case of time | Oyes ONo ONA |
| 93 | TT(TO) - 24 | overrun Whether element-wise segregation of capital cost and IDC & IEDC as on COD is enclosed in case of time | Oyes Ono Ona |
| 94 - | TT(CO) - 26 | overrun Whether the estimated completion cost is higher than the apportioned approved cost? | GYes GNo GNA |
| 95 | TT(CO) - 26.a | (a) In case the cost is higher or lower, whether the reasons thereof is submitted | Gyes ONo ONA |
| 96 | TT(CO) - 26.b | (b) Whether high negative cost variation with reference to apportioned approved cost has been justified | Oyes Ono ®NA |
| 97 | TT(CO) - 27 | Whether details of cost overrun given in Form-5 submitted | Oyes Ono OnA |
| 98 | TT(CO) - 28 | Whether reasons for cost variation for each item along with justification is given as per Appendix-II | ©Yes ©No ®NA |
| 99 | TT(CO) - 29 | Whether there is any change in the scope like increase/decrease in line length, increase in no. of multi-circuit | ©Yes ONo ®NA |
| 100 | TT(CO) - 30 | towers, increase in no. of special towers, etc. and if details submitted Whether change in scope is reflected in Form-2 | OYes [©] No [®] NA |
| 101 | TT(CO) - 31 | Whether reasonableness of cost of individual item is given in Form-5 | Oyes Ono Ona |
| 102 | TT(CO) - 32 | Whether Comparison of hard cost with the benchmarking capital cost submitted | OYes ONo ®NA |
| 103 | TT(IDC & IEDC) | Whether entire amount of IDC discharged as on COD | Oyes Ono Ona |
| 104 | -33 TT(IDC & IEDC) -34 | Whether un-discharged liability portion of IDC is included in additional capital expenditure. If so, the details thereof submitted | Oyes Ono ®NA |
| 105 | TT(IDC & IEDC) | Whether computation of IDC along with editable soft copy, in excel format is submitted for the period | Gyes ONo ONA |
| 106 | -35 TT(IDC & IEDC) | (a) from date of infusion of debt fund to scheduled COD/actual COD | GYAS ONO ONA |
| | | | |

| | - 38 | • | |
|------|---|--|--|
| 111 | TT(IDC & IEDC) -39 | Whether details of the Liquidated Damages, if any, recovered/adjusted or recoverable is submitted | Oyes Ono ®NA |
| 112 | TT(Additional ROE) - 40 | Whether the Additional ROE of 0.5% claimed assets covered in the petition are commissioned within the time line specified under the 2009 or 2014 Tariff Regulations | Oyes Ono ®NA |
| (in) | TT(Additional ROE) - 40,a | if no, whether details submitted | ⊖Yes Ono ®na |
| 114 | TT(Additional ROE) -41 | Whether the works are part of a new sub-station | Oyes Ono Ona |
| 115 | TT(Additional ROE) - 42 | Whether certificate from NPC/RPC in terms of Regulation 24(2)(iii) is enclosed | Oyes Ono ®na |
| 116 | TT(Additional ROE) - 43 | Whether the scheme/project is an ATS? | ©yes ©no ®na |
| 117 | TT(Additional Capital Expenditure)-44 | Whether additional capital expenditure is within the original scope of work | ©Yes ONo ®NA |
| 118 | TT(Additional Capital Expenditure)-45 | Whether project completed within the cut-off date as specified in Regulation 3(13) | ⊕Yes ⊕No ®NA |
| 119 | TT(Additional Capital Expenditure)-46 | Whether detailed justification submitted in respect of the additional capital expenditure claimed before and after the cut-off date | ⊖Yes Ono ®NA |
| 120 | TT(Additional Capital Expenditure)-47 | Whether the work is ratified in RPC or recommended by CEA or any other agency including Enquiry Committee. If yes, whether details given with documentary evidence 14(3)(IX) of 2014 tariff regulation | [©] Yes [©] No [©] NA |
| 121 | TT(Additional Capital Expenditure)-48 | In case of additional capital expenditure claimed under Regulation 14(3)(IX) of 2014 tariff regulation, whether justification that the work was essential for successful and efficient operation of the system furnished | ⊖Yes ONo ®NA |
| 122 | TT(Additional Capital Expenditure)-50 | Whether documents in support of Interest rate and repayment schedule of existing/proposed loans (as per Form-9C) is filled | ⊕Yes ⊖No ®NA |
| 123 | TT(Additional Capital Expenditure)-51 | Whether details regarding default in interest payment of loan submitted | ⊖Yes ⊖No ®NA |
| 124 | TT(Additional Capital Expenditure)-53 | Whether detaits of allocation of corporate loans to various transmission assets in Form-9 and actual cash expenditure in Form-15 submitted | Oyes ONo ®NA |
| 125 | TT(Additional Capital Expenditure)-54 | Whether details of Foreign loans, if any, in Form-9B submitted | Oyes Ono Ona |
| 126 | TT(Additional Capital Expenditure)-55 | Whether Income Tax fiability and deferred tax liability is computed as per amended Regulation 2014-19 | OYes ONo ®NA |
| 127 | TT(Additional Capital Expenditure)-56 | Whether details of the weighted average rate of Interest on loan —Foreign currency in Form-9D along with the effective repayment date is submitted | OYes ONo ®NA |
| 128 | TT(Additional Capital Expenditure)-57 | Whether the loan agreement documents along with amortisation scheduled submitted | OYes ©No ®NA |
| 129 | TT(O&M expenses)-58 | Whether the details have been filed in Form-2 | O _{Yes} O _{No} |
| 130 | TT(O&M expenses)-59 | Whether elements match with scope mentioned in Investment Approval and the assets for which tariff is claimed | OYes ONo ®NA |
| 131 | TT(O&M expenses)-60 | Whether higher O&M expenses has been claimed. If so, whether details/justification submitted | Oyes Ono Ona |
| 132 | TT(O&M expenses)-61 | Whether the basis for O&M expenses for special cases like HVDC terminal submitted | OYes ONo ®NA |
| 133 | TT(0&M expenses)-62 | Shifting of Bays, if any and related details, whether filed | ∰Yes ⊕No ⊕NA |
| 134 | TT(O&M expenses)-63 | In case of use of line reactors as Bus reactors-the Voltage profile prior to and after installation of Bus reactors and agreement in RPC, whether submitted | ⊕Yes ⊕No ®NA |
| 135 | TT(Spares)-64 | Whether year-wise details of liability discharged corresponding to spares of transmission line/SCD and HVDC station/GIS and Communication system is submitted | Oyes ONo ®NA |
| 136 | TT(Spares)-65 | Details of Initial spares claimed and allowed in respect of existing assets if submitted | Uyes ÚNo ®NA |
| 137 | TT(Spares)- Note | Petitions in WS format and detailed calculations in Excel format is uploaded on CERC e-filing portal | ®Yes ONo ONA |

<< Previous

Next >>

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CHECK LIST TO BE FILED BY THE PETITIONER IN RESPECT OF TARIFF PETITIONS FOR 2014-19

TRANSMISSION SYSTEM/ASSETS

| SI. No. | Particulars | Yes/No/NA | Page No. | |
|------------|---|-------------------------------|-------------|----------|
| Procei | dural | | γ | |
| 1. | Whether the petition is posted on website? (a) If yes, whether details submitted (b) If no whether the reasons for not posting is submitted | Yes | | |
| 2. | Whether a copy of the petition is served on all the beneficiallies respondents (a) If yes, whether proof of service has been filed (b) If no, the reasons thereof | Yes | 71-72 | • |
| 3. | Whether notice of tariff petition published in newspapers in terms of the CERC Regulations. If yes, whether the details of the publication made is submitted. If no, whether reasons thereof. | No Petion car be public | | 'y o |
| | The same of the appropriate and the assets covered in | | | : |
| 4. | Whether Standing Committee approval for the assets covered in the petition submitted | 1 | | - |
| 5. | Whether RPC approval for the assets covered in the petition | NA | | |
| U , | aubmitted | | | |
| 6. | Whether Investment Approval of the Board of Directors certified by | NA | | |
| 7. | the Company Secretary is submitted Whether Schematic diagram of the assets covered in the petition is | YES | | |
| 8. | submitted Whether Single Line Diagram and GA drawing of the assets covered in the petition is submitted | ¥€s | | · |
| 9. | Whether relevant pages of DPR for Reactors approved prior to | | | |
| 10. | Whether tariff for POC purpose has been granted. If yes, whether | | | |
| 11. | Whether assets covered in the petition are the only assets included in the Investment Approval? (a) If no, whether the details of assets and the Petition No. in which | 1 NK | | |
| Daal- | assets are covered are given? ration of COD (Asset-wise) Actual or Anticipated | | | |
| 12, | | YES | 14 -1 | <u>(</u> |

Order in 8/\$1/1/2016

Page 4 of 14



| | | | a 40 |
|--------|--|---------------------------------------|--------------|
| 13. | asset. | | |
| | i. In case of change in COD of assets, whether Auditor's | | . |
| | certificate/DOCO letter (for actual COD) and Management | | |
| | certificate /Auditors certificate (in case of anticipated COD) with all | NA | |
| | Tariff filing forms submitted. | | |
| | the street continuous | | |
| | expenditure of assets in the Form of element wise segregation of | | |
| | capital cost and segregation of IDC/IEDC included thereon as on DOCO is submitted | ì | |
| 14. | Whether certificate of incorporation, certificate of commencement | | |
| | of Business, Memorandum of Association and Articles of | NA | |
| İ | Association, in case of new companies, submitted | | |
| 15. | Whether region wise and corporate audited balance sheet and | | <u> </u> |
| ' | P&L account with all schedules and annexure for new transmission | NA | |
| | system and communication system for the relevant years filed | , , , , | |
| 16. | Whether copies of BPTA, TSA and PPA with beneficiaries, if any, | | <u> </u> |
| | submitted | NA | |
| Capita | | <u> </u> | 1 |
| 17. | T | | 1 |
| | financial package submitted | NA | |
| 18. | Whether equity participation agreements and approval for foreign | | |
| | equity submitted | NA | |
| 19. | Whether cost audit report along with cost accounting records, cost | | |
| | details, statements, schedules for transmission system as | NA | |
| | submitted to GOI for first two years, truing up/ final true up | | |
| | submitted | | |
| 20. | In case of revision in the capital cost, whether RCE certified by the | IN A- | |
| | Company Secretary is submitted | 14.4- | 1 |
| 21. | Whether Statement of capital cost as per Books of Accounts | | |
| | (accrual basis) for the assets and amount of capital liabilities in | NA | |
| ļ | gross block filed. | | |
| |)verrun | | |
| 22. | The state of the s | | |
|] | Approval. | 412 | |
| | (a) If no, the reasons for time overrun given | MA | |
| | (b) Whether documents in support of time overrun enclosed | | |
| } | (c)Whether activity-wise delay (planned and achieved as per | | |
| 72 | Appendix-I) submitted | | |
| 23. | Whether Auditor Certificate (Revised) and Management Certificate | NA | |
| 24. | (Revised) is enclosed in case of time overrun | · · · · · · · · · · · · · · · · · · · | |
| _ ~~. | Whether element-wise segregation of capital cost and IDC & IEDC as on COD is enclosed in case of time overrun | NA | . |
| 25. | Whether (a) DPR (b) CPM analysis (s) DEDT shows a little | * ^ T | |
| 20. | Whether (a) DPR (b) CPM analysis (c) PERT chart and Bar chart submitted | NX | |
| Cost O | | ` | |
| 26. | Whether the estimated completion cost is higher than the | | |
|] | apportioned approved cost? | 11. | 1 |
| | (a) In case the cost is higher or lower, whether the reasons | NA | |
| | thereof is submitted | İ | |
| · | (b) Whether high negative cost variation with reference to | ļ | ļ |
| | apportioned approved cost has been justified | | . |
| | The section of the se | | |

Order in 8/SM/2016

Page 5 of 14

| <u></u> | 27. Whether details of cost overrup given in 5 | | |
|--|--|--|----------------------|
| | | NA | - • • • |
| | Through the cost variation for cook the | h | |
| | | | |
| 1 | 5. I whether there is any change in the case the | ρ | |
| ĺ | The state of the s | NA | ŀ |
| | | · | ŀ |
| | O. I vittetitet Change in scone is reflected in Face of | NA | |
| 3 | vincine leasonableness of cost of individual transitions | 1 9070 | |
| | | | ĺ |
| 1 3 | The street of th | + | |
| 100 | submitted submitted capital cos | ' NA | } |
| | | ., <u></u> | |
| | Whether entire amount of IDC discharged as on COD | NA | |
| ا ، | " I VALIDATE CONTROL CONTROL OF A CONTROL OF | 147 | |
| | | | • |
| 3 | | | |
| 1 | | | |
| 1 | (a) from date of infusion of debt fund to scheduled COD/actual | NA | |
| } | | 1 | |
| 36 | (b) from scheduled COD to actual COD (in case of delay) | | |
| 20 | The state of the s | | |
| 37 | _ Submitted | NA | |
| 3/ | | | |
| 38 | | NA | |
| 30 | The state of the control of dolors to a fact the state of | | |
| 39 | 1 National Color Submitted | NA | 1 . |
| 39 | Tribunated Demands | | _ |
| ٨٨٨١ | The state of the s | NA | |
| 40. | MONAL NOC | <u> </u> | |
| 40. | | | |
| | The survey of a specimen full by the survey of a section of the survey o | , | |
| | | NA- | |
| 41. | If no, whether details submitted | | 1. |
| 42. | Whether the works are part of a new sub-station | NA | |
| 72. | Whether certificate from NPC/RPC in terms of Regulation 24(2)(iii) is enclosed | ······································ | |
| 43. | | NA | |
| 10, | | | |
| | If yes, whether there exists implementation Agreement (IA) and if | NA | } |
| Additi | so, copy of IA filed? onal Capital Expenditure | | |
| 44. | Whether additional in the second seco | <u></u> | |
| ··· | Whether additional capital expenditure is within the original scope of work | | |
| 45. | | N# | |
| | Whether project completed within the cut-off date as specified in Regulation 14(1) | | |
| 46. | Whether datalled in the | NA I | |
| , , | Whether detailed justification submitted in respect of the additional capital expenditure claimed before and after the additional | | |
| 47. | Capital expenditure claimed before and after the cut-off date | N+ | 1 |
| | | | |
| 1 | | NA | } |
| 48. | | 1 | |
| - | In case of additional capital expenditure claimed under Regulation | K1 h- | |
| | | NA | |
| | successful and efficient operation of the system furnished | | |
| Marray Ma | | | 1 |

| nterest | on Loan | NY | |
|---------|--|-------------|--------------|
| | at relevant loan anneaments sublinued | | |
| | The support of threes late and topolities | this h | |
| | | | |
| 51. | whether details regarding default in interest payment of loan | NA | |
| | | | |
| | at nonital cost as per BODKS Of Accounts | NK | |
| ! | (accrual basis) for the asset as per Fulli-4A and the amount | 147 | |
| | | | - |
| | | N+ 1 | |
| 53. | Whether details of allocation of corporate state in transmission assets in Form-9 and actual cash expenditure in | | |
| , | | | |
| | - Characan loane if any in Filling Submitted | NA- | |
| 54. | Whether details of Foreign loans, it cay, we will be with the line one Tax liability and deferred tax liability is computed. | NA- | |
| 55. | | | |
| | The state of the s | NA | |
| 56. | Whether details of the weighted average rule | | |
| 1 | | | <u> </u> |
| | Whether the loan agreement documents along with amortisation | NA | |
| 57. | Whether the loan agreement good | | |
| | scheduled submitted | | |
| | xpenses | YES | , |
| 58. | Whether the details have been filed in Form-2 Whether elements match with scope mentioned in Investment | | |
| 59. | | NX | |
| | Approval and the assets for which tariff is claimed Whether higher O&M expenses has been claimed. If so, whether | t 1 v. | |
| 60. | Whether higher Oal expenses has been didnied in | No | |
| | details/justification submitted Whether the basis for O&M expenses for special cases like HVDC | No | |
| 61. | Whether the basis for O&M expenses for special succession | 1,40 | |
| | terminal submitted | No | |
| 62. | Shifting of Bays, if any and related details, whether filed | | |
| 63. | | NO | |
| | prior to and after installation of bus reactors and agreement | | l |
| | RPC, whether submitted | | |
| Spare | S contact to | NA | <u> </u> |
| 64. | | 14.4 | |
| - "- | energy of transmission line/SCD and AVDO station CTO | | l |
| | | <u> </u> | ļ ——— |
| 65. | Details of Initial spares claimed and allowed in respect of existing | NA | 1 |
| ٠٠. | assets if submitted | Clouby Dick | |

Note: Petitions in WS format and detailed calculations in Excel format shall be submitted in CD/Floppy Disk

NOTE: - The perition parame to Natural 1575 lives owned by KPTLL and constructed enter by the crotwhile Kameraka Electroty Board (KEB) The details in the prescribed formits for ROEM Form84; OIN Expunsion Interest on werkery Lapoital on Form 11, Interest on loan ity Form 9E

Page 7 of 14

HYDRO GENERATING STATIONS

| | HYDRO GENERATING STATIONS | | |
|-----------|--|-------------------|-------------|
| SI.No. | Particulars | Yes/No/NA | Page No. |
| Proced | lural . | , | 1 |
| 1. | Whether the petition is posted on website? (a) If yes, details submitted | N/A | |
| 2. | Whether a copy of the petition is served on all the beneficiaries / respondents (a) If yes, whether proof of service has been filed | N/A | |
| 3. | Whether notice of tariff petition published in newspapers in terms of the CERC Regulations. If yes, whether the details of the publication has been submitted | N/A | |
| Capital | | | |
| <u>4.</u> | Whether unit-wise break-up of capital cost submitted | 410 | |
| 5. | Whether Board Approval of Capital Cost /Revised Capital Cost submitted | N/A | |
| 6. | Whether report of DIA on the vetting of capital cost submitted | N/A | |
| 7. | Whether approval of capital cost/RCE by competent authority (MOP/CCEA/CEA) submitted | NIA | |
| 8. | Whether break-up of common facilities in respective units in the original project estimate submitted | N/A | |
| 9. | Whether Audited Financial Statement as on 31 st March of each year of the tariff period with all schedules as on COD of the units submitted | NIA | |
| 10. | Whether the details of liabilities discharged and un-discharged as on COD of each unit as well as on 31 st March of subsequent years of tariff period submitted | N/A N/A N/A | |
| 11. | Whether the details of party-wise and asset-wise un-discharged and discharged liabilities during each year, if any, submitted | N/A | |
| 12. | Whether the details of duty drawback/exemption availed, if any, submitted | N/A | |
| 13. | Whether details of Infirm power as on COD submitted | NIA | 1 |
| 14. | Whether details of Local Area Development Expenses (LADA) along with related government notification, if any, submitted | NIA | |
| Time O | | | |
| 16. | Whether time overrun is involved in the commercial operation of the generating station. (a) If yes, the reasons/justification for time overrun, if submitted (b) Whether documents in support of time overrun is enclosed | N/A | |
| 17. | Whether report of the Standing Committee appointed by GOI with respect to time and cost over-run enclosed | AJU | |
| 18. | Whether the DIA report on time and cost over-run submitted | AIN | |
| | t During Construction (IDC) | | · |
| 19. | Detailed calculation of FERV claimed, if submitted | N/A | |
| 20. | Whether soft copy in formula based Excel Sheet for calculation for IDC (including normative IDC), financing charges as on COD with date of drawl, date of repayment, rate of interest, etc submitted | NIA | |
| 21. | Whether procedure and calculation of apportionment of unit wise IDC submitted | NA | |

Order in 8/SM/2016

A.

Page 8 of 14

| 22. | on Equity (ROE) | | |
|--|--|---------------------------------------|-----------|
| | Whether the details of the actual deployment of loan and equity | | |
| | submitted | A)A | |
| 23. | Whether income tax holiday u/s 80 IA of the I.T. Act is available | | |
| | to the project/station, if yes, whether details thereof submitted | NIA | |
| Additio | nal Capital Expenditure | | |
| 24. | | | |
| | is complete in all respects including; | 4)1 | |
| | (I) Justification for incurring projected additional capital | NA | |
| | expenditure | | |
| | (ii) Provision of regulation under which claimed | | |
| | (iii) De-capitalization value of old asset in case assets | | |
| | claimed under replacement | | |
| | (iv) Claims that do not include expenditure on minor assets | | |
| | and tools and tackles | | |
| | (iv) Approval of additional capital expenditure by Board of | | |
| ļ | Directors of Petitioner | _ | |
| i | (v) Documentary evidence like test results carried out by | | |
| | Independent Agency /OEM or Technical Committee in case | | , |
| | of claims under Regulation 14(3)(vii) and (viii) for efficient | | |
| ĺ | operation | | |
| 25. | Whether additional capital expenditure is within the original | _ | |
| | scope of work and if so details given? | NIA | |
| 26. | Whether additional capital expenditure claimed is within or | N/A N/A | |
| | beyond the cut-off date. If so, whether relevant provisions of the | A)/a | |
| | Regulations mentioned | NO PA | |
| 27. | | | |
| | detailed reasons/justifications submitted | NA | |
| nterest | t on Loan | | |
| 28. | Whether loan agreement submitted | 11/4 | |
| 29. | Whether documents relating to interest rate reset from the first | NIA | |
| 20. | drawl submitted | NIA | |
| 30. | Whether any penalty for shortfall amount of loan paid, if so, | | |
| 00. | details thereof submitted | NA | |
| | | · · · · · · · · · · · · · · · · · · · | |
| 31 | Details of prepayment of loan, if any, submitted | NIA | |
| 31. | Mybothor coloulation of IOI on was Faure 40 f. | | |
| 31. 32. | Whether calculation of IOL as per Form 13 for each year | 1 | J-100.11. |
| 32. | submitted | NIA | |
| | Submitted Whether quarter-wise schedule with regard to loan and revision | NIA | |
| 32. 33. | Submitted Whether quarter-wise schedule with regard to loan and revision thereof submitted | 1 | |
| 32. | Whether quarter-wise schedule with regard to loan and revision thereof submitted Whether there are any commitment charges? If so, reasons | N/A | |
| 32. 33. 34. | Submitted Whether quarter-wise schedule with regard to loan and revision thereof submitted Whether there are any commitment charges? If so, reasons thereof submitted? | N/A N/A | |
| 32. 33. | Whether quarter-wise schedule with regard to loan and revision thereof submitted Whether there are any commitment charges? If so, reasons thereof submitted? Whether any penal rate of interest for default in repayment of | N/A N/A | |
| 32. 33. 34. 35. | Whether quarter-wise schedule with regard to loan and revision thereof submitted Whether there are any commitment charges? If so, reasons thereof submitted? Whether any penal rate of interest for default in repayment of loan is made. If yes, reasons thereof submitted? | N/A | 1 |
| 32. 33. 34. 35. | Whether quarter-wise schedule with regard to loan and revision thereof submitted Whether there are any commitment charges? If so, reasons thereof submitted? Whether any penal rate of interest for default in repayment of loan is made. If yes, reasons thereof submitted? Whether details of calculation of normative loan submitted | N/A N/A | |
| 32. 33. 34. 35. 36. | Whether quarter-wise schedule with regard to loan and revision thereof submitted Whether there are any commitment charges? If so, reasons thereof submitted? Whether any penal rate of interest for default in repayment of loan is made. If yes, reasons thereof submitted? Whether details of calculation of normative loan submitted ation | N/A N/A | |
| 32. 33. 34. 35. 36. | Whether quarter-wise schedule with regard to loan and revision thereof submitted Whether there are any commitment charges? If so, reasons thereof submitted? Whether any penal rate of interest for default in repayment of loan is made. If yes, reasons thereof submitted? Whether details of calculation of normative loan submitted ation Whether justification for claiming the working capital margin as | N/A N/A N/A N/A | |
| 32. 33. 34. 35. 36. epreci | Whether quarter-wise schedule with regard to loan and revision thereof submitted Whether there are any commitment charges? If so, reasons thereof submitted? Whether any penal rate of interest for default in repayment of loan is made. If yes, reasons thereof submitted? Whether details of calculation of normative loan submitted ation Whether justification for claiming the working capital margin as per Form 5A submitted | N/A N/A N/A N/A N/A | |
| 32. 33. 34. 35. 36. | Whether quarter-wise schedule with regard to loan and revision thereof submitted Whether there are any commitment charges? If so, reasons thereof submitted? Whether any penal rate of interest for default in repayment of loan is made. If yes, reasons thereof submitted? Whether details of calculation of normative loan submitted ation Whether justification for claiming the working capital margin as per Form 5A submitted Whether calculation of rate of depreciation for each year as per | N/A N/A N/A N/A N/A | |
| 32. 33. 34. 35. 36. epreci 37. | Submitted Whether quarter-wise schedule with regard to loan and revision thereof submitted Whether there are any commitment charges? If so, reasons thereof submitted? Whether any penal rate of interest for default in repayment of loan is made. If yes, reasons thereof submitted? Whether details of calculation of normative loan submitted ation Whether justification for claiming the working capital margin as per Form 5A submitted Whether calculation of rate of depreciation for each year as per Form 11 submitted | N/A N/A N/A N/A N/A | , |
| 32. 33. 34. 35. 36. epreci 37. | Submitted Whether quarter-wise schedule with regard to loan and revision thereof submitted Whether there are any commitment charges? If so, reasons thereof submitted? Whether any penal rate of interest for default in repayment of loan is made. If yes, reasons thereof submitted? Whether details of calculation of normative loan submitted ation Whether justification for claiming the working capital margin as per Form 5A submitted Whether calculation of rate of depreciation for each year as per Form 11 submitted | N/A N/A N/A N/A | |

| Interes | t on Working Capital | | |
|---------|---|------------|-----|
| 40. | Whether details of component- wise IWC as per Form 13B submitted | NIA | |
| Genera | 1 | | |
| 41. | Whether editable soft copy of all Forms and calculations submitted | Yes | |
| 42. | Whether cost audit report for the last three financial years submitted | N/A | |
| 43. | Whether DPR submitted | NIA | |
| 44. | Whether liability flow statement as per Form 16 submitted | | \ \ |
| 45. | Whether relaxation for NAPAF and Design Energy claimed. If so, whether reasons/justification along with documentary submitted | ~ A | |
| O&M E | xpenses | | |
| 46. | Whether Income Tax liability and deferred tax liability is computed as per amended Tariff Regulation 2014-19? | NIA | |
| 47. | Whether O&M Expenses claimed as per Regulations? If not, whether relaxation claimed | NIA | |
| 48. | In case relaxation claimed, whether details submitted | AL/A | |

Note: Petitions in WS format and detailed calculations in Excel format shall be submitted in CD/Floppy Disk

Order in 8/SM/2016

Page 10 of 14

THERMAL GENERATING STATIONS

84

| SI. No. | Particulars | Yes/No/NA | Page No. |
|------------|--|-----------|-------------|
| Proc | edural | | 1 |
| 1. | Whether the petition is posted on website? (a) If yes, details submitted | AM | |
| 2. | Whether a copy of the petition is served on all the beneficiaries / respondents (a) If yes, whether proof of service has been filed | NIA | |
| 3. | Whether notice of tariff petition published in newspapers in terms of the CERC Regulations. If yes, whether the details of the publication has been submitted | N/A | |
| Capi | tal Cost | | .1 |
| 4. | Whether copy of Minutes of Investment Approval submitted | NA | |
| 5. | Whether Auditor certified statement of capital cost claimed for the tariff period | NA | |
| 6. | Whether unit-wise break-up of the capital cost submitted | NA | |
| 7. | Whether Auditor certificate of capital cost claimed submitted | NA. | |
| 8. | Whether Auditor certificate of Infirm Power adjusted till COD of each unit submitted | NA | |
| 9. | Whether Auditor certificate for initial spares capitalised of each unit submitted | NA | |
| 10. | Whether Auditor certificate in respect of un-discharged liabilities, FERV, IDC and FC as on COD of each unit submitted | NA | |
| 11. | Whether reconciliation of un-discharged liabilities, IDC FC and FERV separately as per Form 9A/9B with that of Books of Accounts submitted | NA | |
| 12. | Whether Form 5B/5C showing complete details as on COD of each unit submitted | NA | |
| 13. | Whether Form 11 showing gross block of each unit and at the beginning of each year submitted | NA | |
| 14. | Whether statement showing quarter-wise position of actual interest (including FC) till COD of each unit in Form 14 submitted | NA | |
| 15. | Whether statement showing quarter-wise position of cumulative cash expenditure till COD of each unit in Form 14A submitted | NA. | |
| 16. | Whether audited financial statement (with all notes/schedules) as on COD of each unit or as on 31 st March each year submitted | NA | |
| 17. | Whether audited financial statement from the date of inception till COD of station is submitted | NA | |
| | Overrun | | |
| 18. | Whether time overrun is involved in the commercial operation of the generating station. (a) If yes, the reasons for time overrun submitted (b) Whether documents in support of time overrun enclosed (c)Whether chronology of events for time overrun submitted | NA | |
| IDC | | | |
| 19. | | NA | |
| 20. | Whether the component wise statement in respect of IEDC as per Appendix III submitted | NA | |

Order in 8/SM/2016

Page 11 of 14

| ROE | | • | |
|----------|---|------|---|
| 21. | Whether statement showing computation of effective tax rate | NA | |
| | from the books of accounts of respective years submitted | | |
| 22. | Whether tax audit report for respective years submitted | NA | |
| 23. | Whether the certificate for moving from MAT to Normal Tax Rate | NA | |
| A al all | or vice-versa during any year is submitted | 1017 | |
| | tional Capital Expenditure | | |
| 24. | Whether additional capital expenditure claimed is within the original scope of work | AN | |
| 25. | Whether additional capital expenditure claimed is within or beyond the cut-off date. If so, whether relevant provisions of the Regulations mentioned with proper justification | NA | |
| 26. | Whether relaxation in cut-off date claimed. If so, whether detailed reasons/justifications submitted | NA | |
| 27. | Whether Audited statement showing (stage/unit-wise) reconciliation of ACE with Books of Accounts submitted | NA | |
| 28. | Whether liability flow statement showing asset/work-wise and party-wise details as shown in Appendix IV along with editable soft copy links is submitted | NA | |
| 29. | Whether statement in respect of interest, FC and FERV (for each component) as per Appendix V submitted | NA | |
| 30. | Whether details in respect of inter-unit transfer in the following heads submitted (a) Name of the asset (b) Original value of asset capitalised (c) Year when the asset was put to use (d) Year of transfer (e) Name of transferring/receiving station and (f) Gross value at the time of transfer | NA | |
| 31. | Whether inter-unit transfer claimed in the petition is at variance with the Books of Accounts and a reconciliation statement for the same has been furnished | NA | |
| 32. | Whether separate details for additions and de-capitalisation have been furnished in case any asset has been claimed on net basis (after adjusting de-capitalised value with positive additions) either under additions and exclusions | NA | |
| 33. | Whether additional details in respect of all de-capitalised stations (claimed under additions or exclusions) have been submitted under the following heads (a) Name of the asset (b) Original value of asset capitalised (c) Amount of depreciation recovered till date (as per books) (d) Year of put to use | NA | |
| 34. | In respect of de-capitalisation of assets claimed under exclusion and earlier capitalised by way of interim transfer and claimed under exclusion for the purpose of tariff, whether a certificate that the same is claimed as de-capitalisation of original transferor is submitted | NA | |
| 35. | Whether details of the amount of IDC and un-discharged included in the additional capital expenditure as per the Books of Accounts for respective years submitted | NA | - |

Order in 8/SM/2016

Page 12 of 14

| 36. | rest on Loan Whether loan agreement submitted | NA |
|----------------|---|----------------------------|
| 37. | Whether documents relating to interest rate reset from the first | |
| . | drawl submitted | NA |
| 38. | Whether any penalty for shortfall amount of loan paid, if so, | |
| | details thereof | NA |
| 39. | Details of prepayment of loan, if any, submitted | N'A |
| 40. | Whether calculation of IOL as per Form 13 for each year submitted | NA |
| 41. | Whether quarter-wise schedule with regard to loan and revision thereof submitted | NA |
| 42. | Whether there are any commitment charges? If so, reasons | NA |
| 10 | thereof | 1 4 |
| 43. | Whether any penal rate of interest for default in repayment of loan is made. If yes, reasons thereof | NA |
| <u>44.</u> | Whether details of calculation of normative loan submitted | $\mathcal{N}_{\mathbf{A}}$ |
| 45. | Whether Form 8 showing actual drawl date and drawl amount in respect of each loan submitted | AN |
| 46. | Whether statement showing rate of interest and foreign | |
| | exchange rate applicable during the tariff period corresponding | NA |
| | to each loan submitted | (VP) |
| Dep | reciation | |
| 47. | Whether justification for claiming the working capital margin as | NA |
| | per Form 5A submitted | N A |
| 48. | Whether calculation of rate of depreciation for each year as per Form 11 submitted | NA |
| 49. | Whether calculation of depreciation for each year as per Form | 1/4 |
| ********* | 12 submitted | NA |
| 50. | Whether the amount of depreciation capitalised to gross block during the respective years by way of IDC submitted | NA |
| nter | est on Working Capital | 70 |
| 51. | Whether details of component- wise IWC as per Form 13B | A / . |
| | submitted | /V A |
| Gene | | |
| 52. | Whether editable soft copy of all Forms and calculations submitted | NA |
| 53. | Whether cost audit report for the last three financial years | |
| | submitted | NA |
| 54. | Whether DPR submitted | |
| 55. | Whether liability flow statement as per Form 16 submitted | NA NA |
| 56. | Whether relaxation for NAPAF and Design Energy claimed. If | |
| | so, whether reasons/justification along with documentary submitted | NA |
| 7. | Whether Income Tax liability and deferred tax liability is | |
| | computed as per amended Regulation 2014-19 | NA |
| | Expenses | 1 |
| 8. | Whether O&M Expenses claimed as per Regulations, If not, | . (• |
| | whether relaxation claimed | NA |
| 9. | In case relaxation claimed, whether details submitted | NA |

Order in 8/SM/2016

Page 13 of 14



<u>APPENDIX – I</u>

| Asset | Activity | Р | eriod of | Reason(s) for | | |
|-------|----------|------|----------|---------------|-------|---|
| | | | ed | Ach | ieved | delay along with reference to supporting document |
| | | From | То | From | То | |

APPENDIX II

| S No | Element | Ва | | Basi | s forming FR Cost | | | | FF | ? Co | st | Act Co | | | Remarks | | |
|---------|---------|----|-------|------|-------------------|--------------------|---|---|------|------|-----|-----------|----|---|---------|----|--|
| | | F | rojec | t-1 | F | ^o roje(| ct-2 | Р | roje | ct-3 | Est | imat | ed | | | | |
| | | Q | R | PL | Q | R | PL | Q | R | PL | Q. | R | PL | Q | R | PL | |
| 1 | | | | | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | | | | |
| 3 | | | | | | | *************************************** | i | | | | | | | | | |
| | | | | | | | | | | | | | | | | | |

<u>APPENDIX – III</u>

| Particular | Opening | Addition | Transfer to GB | Transfer to P& L | Closing |
|------------|---------|----------|-------------------|------------------|---------|
| | | (S | eparately for eac | h year) | |
| Total | | | | | |

APPENDIX – IV

| Name of the party | Name of asset / work | Nature (Admitted / Non admitted) | Opening Liability | Addition during the year | Discharges during the year | Reversal during the year | Closing Liability |
|-------------------|----------------------------|---|----------------------|--------------------------------|----------------------------------|--------------------------------|----------------------|
| | | | | (Year – | wise) | | · |
| | | | | | | | |

<u>APPENDIX – V</u>

| Particulars | For each year (and in case of COD during the year up to COD of each Unit) |
|---|---|
| Interest / FC / FERV / FC/ FERV for the period | |
| Interest / FC / FERV / FC / FERV transferred to P & L A/c | |
| Interest / FC / FERV / FC / FERV capitalised to Gross Block | : |
| Interest / FC / FERV / FC / FERV lying in CWIP (at the beginning) | |
| Interest / FC / FERV / FC / FERV lying in CWIP (at the ends) | |

Order in 8/SM/2016

Page 14 of 14





CENTRAL ELECTRICITY REGULATORY COMMISSION NEW DELHI

| Particulars Particulars | | ר י ר |
|--|---|--|
| 1. Name of the Petitioner/Applicant | KETCL | ٠, ٠, ٠, ٠, ٠, ٠, ٠, ٠, ٠, ٠, ٠, ٠, ٠, ٠ |
| 2. Address of the Petitioner/Applicant | KPTCL, KAVERL BHAWAN, KEMPEC | TOWN OF EARL |
| 3. Subject Matter | TRANSMISSION TARIFF | |
| 4. Petition Noif any | | BANGALORE |
| 5. Details of generation assets | | 560009 |
| (a) generating station/units | | |
| (b) Capacity in MW | | 1 |
| (c) Date of commercial operation | | ' |
| (d) Period for which fee paid | | |
| (c) Amount of fee paid | · • | Ī |
| (f) Surcharge, if any | | |
| 6. Details of transmission assets | | 1 |
| (a) Transmission line and sub-stations | | |
| (b) Date of commercial operation | | |
| (c) Period for which fee paid | • | • |
| (d) Amount of fee paid | ' | |
| (g) Surcharge, if any | | ļ |
| 7. Fee paid for Adoption of tariff for | | 1 |
| (a) Generation asset (b) Transmission asset | | |
| | | |
| 8. Application fee for licence | | |
| (a) Trading licence | | |
| (b) Transmission licence (o) Period for which paid | | |
| (d) Amount of fee paid | | |
| 9: Fees paid for Miscellaneous Application | | - · · · · · · · · · · · · · · · · · · · |
| 10. Fees paid for Interlocutory Application | | |
| 11. Fee paid for Regulatory Compliance petition | | |
| 12. Fee paid for Review Application | , | |
| 13. Licence fee for inter-State Trading | | |
| (a) Category | | ' |
| (b) Period | | |
| (c) Amount of fee paid | | |
| (d) Surcharge, if any | | |
| 14. Licence fee for inter-State Transmission | | |
| (a) Expected/Actual transmission charge | | |
| (b) Period | | |
| (c) Amount of fee calculated as a percentage of | ' | • |
| transmission charge. | · | |
| (d) Surcharge, if any | | |
| 15. Annual Registration Charge for Power Exchange | | |
| (a) Period | | |
| (b) Amount of turnover | | |
| (c) Fee paid | ` ! | |
| (d) Surcharge, if any 16. Details of fee remitted | | |
| | 817321035432; VIBH 17259012 | ~~ 1 |
| (a) UTR No. | 01.001.001.001.001.001.001.001.001.001. | 216 |
| (b) Date of remittance | 17/11/17 2 16/9/17 | |
| (c) Amount remitted | 82,570 &4, 17,430 | |
| Note: While Sl. Nos. 1 to 3 and 16 are compulsory, the res | t may be filled up as applicable | |
| Signature of the authorized | | |
| SENGLULY WILL GALE WAYN | | |

Uploade of Printing at Government of India Press, Ring Road, Mayapuri, New Delhi-110064
Regulated Published by the Coduroller of Publications, Delhi-110054.
KPTCL, Kaveri Bhavan.
Bangalore - 560 009



BEFORE THE CENTRAL ELECTRICITY REGULATORY COMMISSION AT NEW DELHI

Petition No.

/TT/2017

Between: Karnataka Power Transmission Corporation Limited.

And:

Transmission Corporation of Andhra Pradesh Limited and Others.

I, Ramesh.Gudi, Executive Engineer (Electrical), Regulatory Affairs (Legal), Karnataka Power Transmission Corporation Limited, do hereby, by these presents appoint and retain the following Advocates:

M/s. Justlaw and

SRIRANGA. S

ADVOCATE!

Jointly and severally to appear and act for me/us, in the above case and conduct the same to Judgment.

- I/We hereby empower and the said Advocates and each of them to appear and plead on our behalf in the said case and in all connected execution or miscellaneous proceedings and in proceedings by way of Appeal, Reference, Review or Revision arising therefrom or in respect thereof; to execute any decree or order that may be passed in our favour; to prefer and prosecute any Appeal, Review or Revision against any judgment, decree or order that may be passed against us; to sign petitions, to obtain return of documents; to receive and draw any moneys which may be payable to me/us and to grant receipts and discharges therefore; AND IN GENERAL do all acts, deeds and things necessary for the conduct of the above case and all proceedings pertaining to or arising therefrom, and incidental thereto.
- And I/We further empower the said Advocates and each of them to withdraw the said case/proceedings or refer it to Arbitration and/or to enter into any settlement or compromise.
- I/We hereby agree to all fees, cost, charges and expenses payable to the said Advocates and to ratify and confirm all acts, deeds and things that the aforesaid Advocates or any of them may do or cause to be done through any other Legal Practitioner on our behalf, by virtue of these presents.

IN WITNESS WHEREOF I/We have signed these presents at Bengaluru on this the 7th day of June 2017.

I am satisfied that this Vakalath has been duly executed

ACCEPTED:

BALAJI EXECUTIVE ENGINEER (EL)

SRINIVASAN Regulatory Affairs (Logal)

KPTCL, Kaveri Bhavan, D/369-A/98 Bangalore - 560 609

ADVOCATES FOR: Petitionin

Bengaluru

Date: 09-06-2017

Address for Service:

M/s. Justlaw, Advocates, No.24, Keshava Nivas. 2nd Floor, Kalidasa Road, Gandhinagar,

Bengaluru - 560 009.

Tel: 080 22266002, 22238063, 22259074, 22380607

Email address: enquiry@justlaw.co.in